



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

**HARARE
PROTOCOL**

AND

**THE IMPLEMENTING
REGULATIONS**

**Harare
2007**



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

**PROTOCOL ON PATENTS AND INDUSTRIAL DESIGNS WITHIN
THE FRAMEWORK OF THE AFRICAN REGIONAL
INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)**

(adopted on December 10, 1982, at Harare (Zimbabwe), and amended by the Administrative Council of ARIPO on December 11, 1987, April 27, 1994, November 28, 1997, May 26, 1998, November 26, 1999, November 30, 2001, November 21, 2003, and November 24, 2006)

and

**REGULATIONS FOR IMPLEMENTING THE PROTOCOL ON PATENTS
AND INDUSTRIAL DESIGNS WITHIN THE FRAMEWORK OF THE
AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION
(ARIPO)**

(text entered into force on April 25, 1984, and amended by the Administrative Council of ARIPO on April 27, 1994, November 27, 1998, November 24, 2000, November 21, 2003 and November 24, 2006)

**Harare Protocol on Patents and Industrial Designs Within the Framework of
the African Regional Intellectual Property Organization (1982)**

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**Contracting States Under the
Harare Protocol on Patents and Industrial Designs Within the Framework of
the African Regional Intellectual Property Organization (1982)**

(Status as at February 24, 2007)

State	Date on which State became party to the Protocol
Botswana	May 6, 1985
The Gambia	January 16, 1986
Ghana	April 25, 1984
Kenya	October 24, 1984
Lesotho	October 23, 1987
Malawi	April 25, 1984
Mozambique	May 8, 2000
Namibia	April 23, 2004
Sierra Leone	February 25, 1999
Sudan	April 25, 1984
Swaziland	March 17, 1988
Uganda	April 25, 1984
United Republic of Tanzania	September 1, 1999
Zambia	February 26, 1986
Zimbabwe	April 25, 1984

(No. of States: 15)

Preamble

The Contracting States of this Protocol,

Having regard to the Agreement on the Creation of an African Regional Intellectual Property Organization (ARIPO) then known as the Industrial Property Organization for English-Speaking Africa, concluded in Lusaka (Zambia) on December 9, 1976, and in particular to its Article III(c), in accordance with which the objectives of the Organization include the establishment of such common services or organs as may be necessary or desirable for the co-ordination, harmonization and development of the intellectual property activities affecting its members.

Considering the advantages to be gained by the pooling of resources in respect of intellectual property administration,

Hereby agree as follows:

Section 1
General

The African Regional Intellectual Property Organization (ARIPO) is empowered to grant patents and to register utility models and industrial designs and to administer such patents, utility models and industrial designs on behalf of Contracting States in accordance with the provisions of the Protocol, through its Secretariat (hereinafter referred to as “the Office”).

Section 2
Filing and Transmittal of Applications

(1) Applications for the grant of patents or the registration of utility models and industrial designs by the Office shall be filed by the authorized representative of the applicant or by the applicant with either the Office or, where the law of a Contracting State so permits, the industrial property office of a Contracting State.

(2) An application filed with the industrial property office of a Contracting State under the provisions of paragraph (1) of this Section shall have the same effect as if it had been filed on the same date at the Office.

(3) The provisions of paragraphs (1) and (2) of this Section shall not preclude the application of legislative provisions which, in any Contracting State

- (a) govern inventions which, owing to the nature of their subject-matter, may not be communicated abroad without the prior authorization of the competent authority of that State, or
- (b) prescribe that each application is to be filed initially with the industrial property office of the Contracting State or make direct filing with another authority subject to prior authorization.

(4) An applicant may be represented by an attorney, agent or legal practitioner who has the right to represent applicants before the industrial property office of any Contracting State. Where

- (a) an application is filed directly with the Office but the applicant’s ordinary residence or principal place of business is not situated in the host country of the Office; or

- (b) an application is filed with the industrial property office of a Contracting State by an applicant whose ordinary residence or principal place of business is not situate in a Contracting State, the applicant shall be represented.

(5) Where the application is filed with the industrial property office of a Contracting State, such office shall within one month of receiving the application, transmit that application to the Office.

Section 3 ***Patents***

(1) A patent application shall:

- (i) identify the applicant;
- (ii) contain, as prescribed, a description of the invention, a claim or claims, a drawing or drawings, where necessary and an abstract;
- (iii) designate the Contracting States for which the patent is requested to be granted;
- (iv) be subject to the payment of the prescribed fees.

(1A) Where the patent application describes or claims as an invention a micro-biological process on a product thereof, and requires for the performance of the invention the use of a micro-organism which is not available to the public on the filing date of the application and which cannot be made or obtained on the basis of the description in the application, the micro-organism shall before the acceptance of the application be dealt with in the manner prescribed in the Regulations.

(2) (a) The Office shall examine whether the formal requirements for applications have been complied with and shall accord the appropriate filing date to the application.

(b) If the Office finds that the application does not comply with the formal requirements, it shall notify the applicant accordingly, inviting him to comply with the requirements within the prescribed period. If the applicant does not comply with the requirements within the said period, the Office shall refuse the application.

(c) The Office shall notify each designated State of the fact that a patent application has been filed which complies with the prescribed formal requirements.

(3) The Office shall undertake, or arrange for, the substantive examination of the patent application. If it finds that the invention claimed in the application does not comply with the requirements of patentability referred to in sub-section (10), it shall refuse the application.

(4) Where under

- (a) sub-section (2)(b) or (3) of this Section;
- (b) any other Protocol within the framework of ARIPO

the Office refuses any application, the applicant may, within the prescribed period, request the Office to reconsider the matter.

(5) If after the Office has reconsidered the application, the Office is still of the view that the application shall be refused, the applicant may lodge an appeal against the decision of the Office to the Board of Appeal established in terms of Section 4*bis* of the Protocol.

(6) Before the expiration of six months from the date of the notification referred to in sub-section (5), a designated State may make a written communication to the Office that, if a patent is granted by the Office, that patent shall have no effect in its territory for the reason

- (i) that the invention is not patentable in accordance with the provisions of this Protocol, or
- (ii) that, because of the nature of the invention, a patent cannot be registered or granted or has no effect under the national law of that State.

(7) After the expiration of the said six months, the Office shall grant the patent, which shall have effect in those designated States which have not made the communication referred to in sub-section (6). The Office shall publish the patent granted.

(8) If the Office refuses the application notwithstanding a request for reconsideration under sub-section (4), the applicant may, within three months from being notified of such refusal, request that his application be treated, in any designated State, as an application according to the national law of that State.

(9) At any time before the grant or refusal of a patent an applicant for a patent may, upon payment of the prescribed fees, convert the application into an application for a utility model, which shall be accorded the filing date of the initial application. An application may not be converted under this sub-section more than once.

(10) Inventions for which patents are granted by the Office shall be new, shall involve an inventive step and shall be industrially applicable. An invention is new if it is not anticipated by prior art. Everything made available to the public anywhere in the world by means of written disclosure (including drawings and other illustrations) or by use or exhibition shall be considered prior art provided that such making available occurred before the date of filing of the application or, if priority is claimed, before the priority date validly claimed in respect thereof and further provided that a disclosure of the invention at an official or officially recognized exhibition shall not be taken into consideration if it occurred not more than six months before the date of filing of the application or, if priority is claimed, before the priority date validly claimed in respect thereof.

(11) On each anniversary of the filing of the application, the Office shall collect the prescribed annual maintenance fees, part of which shall be distributed among the designated States concerned. The amount of the fees shall depend on the number of States in respect of which the application or patent is maintained. Provided it is maintained, a patent granted by the Office shall in each designated State have the same effect as a patent registered, granted or otherwise having effect under the applicable national law. The duration of the patent shall be twenty years from the filing date.

(12) A patent granted by the Office shall in each designated State be subject to provisions of the applicable national law on compulsory licenses, forfeiture or the use of patented inventions in the public interest.

Section 3bis
International Applications under the Patent Cooperation Treaty (PCT)

(1) In this Section:

“*Patent Cooperation Treaty*” means the Patent Cooperation Treaty done at Washington on June 19, 1970, including the Regulations and Administrative Instructions under the Treaty, as last revised;

“*international application*” means a patent application filed under the Patent Cooperation Treaty.

(2) An international application in which a Contracting State which is also bound by the Patent Cooperation Treaty is designated for the purposes of obtaining a patent under the provisions of this Protocol shall be considered to be an application for the grant of a patent under this Protocol. The provisions of the Patent Cooperation Treaty shall apply to such international application in addition to the provisions of this Protocol and the Regulations under this Protocol; in case of conflict, the provisions of the Patent Cooperation Treaty shall apply.

(3) The ARIPO Office may act as receiving Office under Article 2 (xv) of the Patent Cooperation Treaty in relation to an international application filed by an applicant who is a resident or national of a Contracting State which is also bound by the Patent Cooperation Treaty.

(4) The ARIPO Office shall act as designated Office under Article 2 (xiii) of the Patent Cooperation Treaty in relation to an international application referred to in subsection (2).

(5) The ARIPO Office shall act as elected Office under Article 2 (xiv) of the Patent Cooperation Treaty in relation to an international application referred to in subsection (2) where a Contracting State is elected for the purposes of international preliminary examination under Article 31 (4) of the Patent Cooperation Treaty.

(6) In relation to an international application referred to in subsection (2), without limiting the generality of that subsection:

- (i) Section 2 and 3 (2) shall not apply;
- (ii) any annual maintenance fee which falls due under Section 3 (11) need not be paid until the expiration of the applicable time limit under Article 22 or 39 (1)(a) of the Patent Cooperation Treaty.

Section 3ter
Utility Models

(1) In this Section, “*utility model*” means any form, configuration or disposition of elements of some appliance, working tools and implements as articles of everyday use, electrical and electronic circuitry, instrument, handicraft, mechanism or other object or any part thereof in so far as they are capable of contributing some benefit or new effect or saving in time, energy and labour or allowing a better or different functioning, use, processing or manufacture of the subject matter or that gives utility advantages, environmental benefit, and includes micro-organism or other self-replicable material, products of genetic resources, herbal as well as nutritional formulations which give new effects.

(2) A utility model shall be protected under the Protocol if it is new and industrially applicable.

(3) An application for registration of a utility model shall:

- (i) identify the applicant;
- (ii) contain, as prescribed, a description of the utility model, a claim or claims, a drawing or drawings or a model, and an abstract;
- (iii) designate the Contracting States for which the utility model is requested to be registered;
- (iv) be subject to payment of the prescribed fees.

(4) (a) The Office shall examine whether the formal requirements for applications have been complied with and shall accord the appropriate filing date to the application.

(b) If the Office finds that the application does not comply with the formal requirements, it shall notify the applicant accordingly, inviting him to comply with the requirements within the prescribed period. If the applicant does not comply with the requirements within the said period, the Office shall refuse the application.

(c) The Office shall notify each Designated State of the fact that an application for the registration of a utility model has been filed which complies with the prescribed formal requirements.

(5) The Office shall undertake, or arrange for, the substantive examination of the application for the utility model. If it finds that the application does not comply with the requirements for a utility model referred to in subsection (2), it shall refuse the application.

(6) Where under:

- (a) sub-section 4(b) or (5) of this section;
- (b) any other Protocol within the Framework of ARIPO

the Office refuses any application, the applicant may, within the prescribed period, request the Office to reconsider the matter.

(7) If after the Office has reconsidered the application, the Office is still of the view that the application shall be refused, the applicant may lodge an appeal against the decision of the Office to the Board of Appeal.

(8) Before the expiration of six months from the date of the notification referred to in sub-section 4(c), a designated State may make a written communication to the Office that, if a utility model is registered by the Office, that registration shall have no effect in its territory for the reason:

- (i) that the utility model is not registrable under the provisions of the Protocol, or
- (ii) that, because of the nature of the utility model, such utility model cannot be registered or has no effect under the national law of that State.

(9) If the Office refuses the application notwithstanding a request for reconsideration under subsection (7), the applicant may, within three months from being notified of such refusal, request that his application be treated, in any designated State, as an application according to the national law of that State.

(9) If the Office refuses the application notwithstanding a request for reconsideration under sub-section (7), the applicant may, within three months from being notified of such refusal, request that his application be treated, in any designated State, as an application according to the national law of that State.

(10) On each anniversary of the filing of the application, the Office shall collect the prescribed annual maintenance fees, part of which shall be distributed among designated States concerned. The amount of fees shall depend on the number of States in respect of which the application or registration is maintained. Provided it is maintained, a utility model registered by the Office shall have a duration of 10 years from the filing date.

(11) At any time before the refusal of an application for, or the registration of, a utility model, an applicant for a utility model may, upon payment of the prescribed fees, convert his application into a patent application, which shall be accorded the filing date of the initial application.

(12) A utility model registered by the Office shall in each designated State be subject to provisions of the applicable national law on compulsory licenses, forfeiture or the use of utility models in the public interest.

Section 4 ***Industrial Designs***

(1) An application for the registration of an industrial design filed shall:

- (i) identify the applicant;
- (ii) contain a reproduction of the industrial designs;
- (iii) designate the Contracting States for which the registration is requested to have effect;
- (iv) be subject to the payment of the prescribed fees.

(2) (a) The Office shall examine whether the formal requirements for applications have been complied with and shall accord the appropriate filing date to the application.

(b) If the Office finds that the application does not comply with the formal requirements, it shall notify the applicant accordingly, inviting him to comply with the requirements within the prescribed period. If the applicant does not comply with the requirements within the said period, the Office shall refuse the application.

(c) The Office shall notify each designated State of the fact that an application for the registration of an industrial design has been filed which complies with the prescribed formal requirements.

(3) Before the expiration of six months from the date of the notification referred to in sub-section (2)(c), each designated State may make a written communication to the Office that, if the industrial design is registered by the Office, that registration shall have no effect in its territory for the reason

- (i) that the industrial design is not new,

- (ii) that, because of the nature of the industrial design, it cannot be registered or a registration has no effect under the national law of that State, or
- (iii) that, in the case of a textile design, it is the subject of a special register.

(4) After the expiration of the said six months, the Office shall effect the registration of the industrial design, which shall have effect in those designated States which have not made the communication referred to in sub-section (3). The Office shall publish the registration.

(5) If the Office refuses the application, the applicant may, within three months from being notified of such refusal, request that his application be treated, in any designated State, as an application according to the national law of that State.

(6) On the anniversary of the filing of the application, the Office shall collect the prescribed annual maintenance fees, part of which shall be distributed among the designated States concerned. The amount of the fees shall depend on number of States in respect of which the application or registration is maintained. Provided it is maintained, the registration of an industrial design effected by the Office shall in each designated State have the same effect as a registration effected or otherwise in force under the applicable national law. The duration of such a registration shall be ten years from the filing date.

(7) An industrial design registered by the Office shall in each designated State be subject to the provisions of the applicable national law on compulsory licenses or the use of registered industrial designs in the public interest.

Section 4bis ***The Board of Appeal***

(1) There is hereby established a Board to be known as the Board of Appeal (hereinafter referred to as "the Board").

(2) The Board shall consist of five (5) members who are experienced in intellectual property matters two of whom shall be examiners.

(3) At all sittings of the Board, at least one examiner shall be present.

(4) The members of the Board shall be appointed by the Administrative Council of the Organization

- (a) for a period of two years renewable once for another term of two years;
- (b) from the member states of the Organization; and
- (c) on such other terms and conditions as the Council may determine.

(5) The functions of the Board are

- (a) to consider and decide on any appeal lodged by the applicant in terms of Section 3(4) of this Protocol;
- (b) to review any final administrative decision of the Office in relation to the implementation of the provisions of this Protocol, the Banjul Protocol on Marks or any other Protocol within the framework of ARIPO;

- (c) to decide on any other matter related to or incidental to the exercise of the Board's powers.
- (6) Three members of the Board shall form a quorum.
- (7) The decisions of the Board shall be final.
- (8) The Board shall have power to make and adopt its own rules of procedure.

Section 5 Regulations

(1) The Administrative Council of ARIPO shall make Regulations for the implementation of this Protocol and may amend them, where necessary.

- (2) The Regulations shall in particular relate to
 - (i) any administrative requirements, matters of procedure, or any details necessary for the implementation of the provisions of this Protocol and any relevant international treaties;
 - (ii) the fees to be charged by the Office and the details of the distribution of part of those fees among the Contracting States.

Section 6 Entry into Force and Final Provisions

(1)(a) Any State which is a member of the Organization or any State to which membership of the Organization is open in accordance with Article IV(1) of the Agreement on the Creation of the African Regional Intellectual Property Organization may become party to this Protocol by:

- (i) signature followed by the deposit of an instrument of ratification, or
- (ii) deposit of an instrument of accession.

(b) Instruments of ratification or accession shall be deposited with the Government of the Republic of Zimbabwe.

(c) This Protocol shall enter into force three months after three States have deposited their instruments of ratification or accession.

(d) Any State which is not party to this Protocol upon its entry into force under sub-section (1)(c) of this Section shall become bound by this Protocol three months after the date on which such State deposits its instrument of ratification or accession.

(2)(a) Ratification of, or accession to, this Protocol shall entail acceptance of the Agreement on the Creation of an African Regional Intellectual Property Organization.

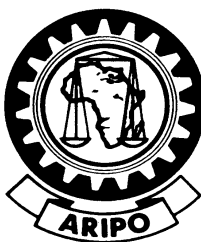
(b) The deposit of an instrument of ratification of, or accession to, this Protocol by a State which is not a party to the Agreement referred to in paragraph (a) of this sub-section shall have the effect that the said State shall become party to the said Agreement on the date on which it deposits its instrument of ratification of, or accession to, this Protocol.

(3)(a) Any Contracting State may denounce this Protocol by notification addressed to the Government of the Republic of Zimbabwe.

(b) Denunciation shall take effect six months after receipt of the said notification by the Government of the Republic of Zimbabwe. It shall not affect any patent application or application for the registration of an industrial design filed with the Office prior to the expiration of the said six-month period or any patent granted or registration of an industrial design effected upon such as application.

(4)(a) This Protocol shall be signed in a single copy and shall be deposited with the Government of the Republic of Zimbabwe.

(b) The Government of the Republic of Zimbabwe shall transmit certified copies of this Protocol to the Contracting States, other States members of the African Regional Intellectual Property Organization and the States to which membership of the Organization is open in accordance with Article IV (1) of the Agreement on the Creation of an African Regional Intellectual Property Organization, the World Intellectual Property Organization and the United Nations Economic Commission for Africa.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
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**REGULATIONS FOR IMPLEMENTING THE PROTOCOL ON PATENTS
AND INDUSTRIAL DESIGNS WITHIN THE FRAMEWORK OF THE
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(ARIPO)**

(Text entered into force on April 25, 1984, and amended by the Administrative Council of ARIPO on April 27, 1994, November 27, 1998, November 24, 2000, November 21, 2003 and November 24, 2006)

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Rule 1
Interpretation

In these Regulations, unless the context otherwise requires,

“*Administrative Instructions*” means the Administrative Instructions established by the Director General of the ARIPO Office in accordance with Rule 2(5);

“*application*” means an application for the grant of a patent or for the registration of a utility model or an industrial design, as the case may be, under the provisions of the Protocol;

“*ARIPO Journal*” means the Journal published by ARIPO as required in Rule 2(4);

“*ARIPO Office*” means the Office of the African Regional Intellectual Property Organization (ARIPO);

“*Board of Appeal*” means the Board of Appeal established under Section 4*bis* of the Protocol;

“*the Budapest Treaty*” means the Treaty on the International Recognition of the Deposit of Micro-organisms for the purposes of Patent Procedure done at Budapest in 1977;

“*Contracting State*” means any State that adheres to the Protocol;

“*depository institution*” means an institution which at all relevant times,

- (a) carries out the functions of receiving, accepting and storing micro-organisms and the furnishing of samples thereof; and
- (b) conducts its affairs in so far as they relate to the carrying out of those functions in an objective and impartial manner;

“*designated State*” means a State designated, in accordance with Rule 5(1)(f), in an application;

“*international application*” means a patent application filed under the Patent Cooperation Treaty.

“*international depository authority*” means a depository institution which has acquired the status of international depository authority as provided for in Article 7 of the Budapest Treaty;

“*Paris Convention*” means the Paris Convention for the Protection of Industrial Property of March 20, 1883, as last revised;

“*Patent Cooperation Treaty*” means the Patent Cooperation Treaty done at Washington on June 19, 1970, including the Regulations and Administrative Instructions under the Treaty, as last revised;

“*Protocol*” means the Protocol on Patents and Industrial Designs Within the Framework of the African Regional Intellectual Property Organization (ARIPO) adopted at Harare, Zimbabwe, on December 10, 1982.

“*Utility Model*” shall have the meaning given in section 3*ter* of the Protocol.

Rule 2
Registers; The Journal;
Administrative Instructions

(1) The ARIPO Office shall maintain a Patents Register, a Utility Models Register and an Industrial Designs Register in which shall be recorded, respectively, all patents granted and all utility models and industrial designs registered under the Protocol, and which shall contain the particulars the recording of which is provided for in these Regulations.

(2) The recording of a granted patent shall be effected, in the order of grant, by the insertion in the Patents Register of a copy of the patent.

(3) Any recording other than that of the granted patent shall be effected by recording, under the appropriate heading of a page in the Patents Register reserved for each patent, the fact or instrument to be recorded.

(4) The ARIPO Office shall publish a Journal in which it shall effect all the publications provided for in the Protocol and in these Regulations. The Journal shall be published at least quarterly.

(5)(a) The Director General of the ARIPO Office shall establish Administrative Instructions which shall deal with details in respect of the application of these Regulations and which shall not be in conflict with the provisions of the Protocol and these Regulations.

(b) The Administrative Instructions shall be modified by the Director General of the ARIPO Office upon the request of the ARIPO Administrative Council.

Rule 3
Inspection of Files

(1) Subject to paragraph (2), any person may, upon payment of the prescribed fee, consult the Registers or obtain copies of extracts therefrom.

(2)(a) The file relating to a patent application or an application for the registration of a utility model may be inspected and extracts therefrom obtained before the grant of the patent or registration of the utility model only with the written permission of the applicant.

(b) Even before the grant of the patent or the registration of the utility model, the ARIPO Office shall, on request, communicate the following bibliographic data:

- (i) the name and address of the applicant and the name and address of the agent;
- (ii) the number of the application;
- (iii) the filing date of the application and, if priority is claimed, the priority date, the number of the earlier application and the name of the State in which the earlier application was filed or, where the earlier application is a regional or international application, the name of the State or States for which it was filed as well as the office with which it was filed;
- (iv) the title of the invention or the utility model;
- (v) any change in the ownership of the application and any reference to a license contract appearing in the file of the application.

(c) Where an application is withdrawn in accordance with Rule 17, the file relating to it may be inspected only with the written permission of the person who withdrew the application, and subparagraph (b) shall not apply.

(3) The inspection of files of the ARIPO Office by the Courts or authorities of Contracting States shall be by the provision of copies of the relevant documents or extracts of the relevant entries.

Rule 4
Patent Information Services

The ARIPO Office shall provide, upon request, patent information services to users of patent information in member and potential Member States of ARIPO for the purpose of facilitating the adaptation, transfer and acquisition of appropriate technology, the development of local research and the creation of indigenous technology.

Rule 5
Form and Contents of Patent Application

(1) The application for a patent shall contain:

- (a) a request;
- (b) a description;
- (c) one or more claims;
- (d) one or more drawings (where necessary);
- (e) an abstract; and
- (f) a designation of the Contracting States in respect of which the patent is requested to be granted.

(2) The application shall be in the English language and any document forming part of the application and which is in a language other than English shall be accompanied by an English translation and shall be certified by the applicant or the person having translated the application in a statement to that effect, that, to his knowledge, the translation is a correct record of the application.

(3) The request shall be made on a printed form copies of which shall be obtainable from the ARIPO Office and from the industrial property offices of Contracting States.

(4)(a) The printed form shall contain a list which, when filled in, will show;

- (i) the total number of sheets constituting the application and the number of the sheets of each element of the application (request, description, claims, drawings, abstract);
- (ii) whether or not the application as filed is accompanied by a power of attorney, a priority document, a receipt for the fee paid or an undertaking to pay the requisite fees, a statement justifying the applicant's right to the patent and any other document (to be specified in the check list);

- (iii) the number of the most illustrative drawing which the applicant suggests should accompany the abstract when the abstract is published.

(b) The list shall be filled in by the applicant, failing which the ARIPO Office shall fill it in and make the necessary annotations.

(5) The request, which shall be signed by the applicant, shall contain:

- (a) a petition which shall appear on the printed form;
- (b) the title of the invention which shall be short (preferably from two to seven words) and precise;
- (c) the name, address (including, where applicable, telegraphic and telex address and telephone and telex address and telephone number) and nationality of the applicant and the State in which his residence or principal place of business is located; names of natural persons shall be indicated by the person's family name and given name(s), the family name being indicated before the given name(s); names of legal entities shall be indicated by their official designations; addresses shall be indicated in such a way as to satisfy the customary requirements for prompt postal delivery at the indicated address; they shall in any case comprise all the relevant administrative units, including the house number, if any;
- (d) the name, address and place of business of the applicant's representative (particulars as in (c) above);
- (e) where the applicant is the inventor, a statement to that effect and, where he is not, the name and address of the inventor accompanied by a statement specifying the basis of the applicant's right to the patent; and
- (f) where applicable, a declaration of priority.

Rule 6
Contents of the Description

(1) The description shall:

- (a) first state the title of the invention as appearing in the request;
- (b) specify the technical field to which the invention relates;
- (c) indicate the background art which, as far as known to the applicant, can be regarded as useful for the understanding, searching and examination of the invention, and, preferably, cite the documents reflecting such art;
- (d) disclose the invention in such terms that it can be understood, and state its advantageous effects, if any, with reference to the background art;
- (e) briefly describe the figures in the drawings, if any;
- (f) set forth at least the best mode contemplated by the applicant for carrying out the invention; this shall be done in terms of examples, where appropriate, and with reference to the drawings, if any; and

- (g) indicate explicitly, when it is not obvious from the description or nature of the invention, the way in which the invention is industrially applicable and the way in which it can be made and used, or, if it can only be used, the way in which it can be used.

(2) The manner and order specified in this Rule shall be followed except when, because of the nature of the invention, a different manner or a different order would result in a better understanding and a more concise presentation.

Rule 6bis
Patent Applications Relating to Micro-organisms

6bis. 1. Applications

(1). The description of an invention in an application for a patent which requires for its performance the use of a micro-organism

- (a) which is not available to the public at the date of filing the application; and
- (b) which cannot be described in the application in such a manner as to enable the invention to be performed by a person skilled in the art shall be regarded as disclosing the invention only if
 - (i). not later than the date of filing of the application, a culture of the micro-organism has been deposited with an international depositary institution; and
 - (ii). the name of the depositary institution, the date when the culture was deposited and file number of the deposit are given in the application, and, where a new deposit is made under paragraph 6 bis.4 below, the applicant or proprietor makes a new deposit in accordance with that paragraph.

(2). Where the information specified under subparagraph (1) (b) (ii) above is not contained in the application as filed, it shall be submitted to the ARIPO Office,

- (a) before the end of the period of 16 months after the date of filing of the application or, if priority is claimed, after the priority date.
- (b) where the Office has received a request by any person for information and inspection of the application under Rule 3 of these Regulations, before the end of one month after the Office communicates to the applicant a notification of receipt of the request, whichever period is the earliest.

(3). The submission of information specified in subparagraph (1)(b) (ii) above shall constitute the unreserved and irrevocable consent of the applicant to the depositary institution with which a culture is from time to time deposited making the culture available on receipt of the certificate of the ARIPO Office authorizing the release to the person named therein as a person to whom the culture may be made available and who makes a valid request to depositary institution.

(4). The application for a patent referred to in subparagraph (1) above shall mention any international agreement under which the micro-organism concerned is deposited.

6bis. 2. Availability of Culture

(1). The deposited culture shall be available upon request to any person from the date of publication of the ARIPO patent application and to any person having the right to inspect the files under Rule 3 prior to that date. Subject to the provisions of subparagraph (3) of Rule 6bis. 3 below, such availability shall be effected by the issue of a sample of the micro-organism to the person making the request (hereafter called “the requester”) and the said issue shall be made only if the requester has undertaken vis-à-vis the applicant or the proprietor of the patent:

- (a). not to make the deposited culture or any culture derived therefrom available to any third party before the application has been refused or withdrawn or is deemed to be withdrawn or, if a patent is granted, before the expiry of the patent;
- (b). to use the deposited culture or any culture derived therefrom for experimental purposes only, until such time as the patent application is refused or withdrawn or is deemed to be withdrawn or on the publication of the grant of the patent. This provision shall not apply where the requester is using the culture under a compulsory licence.

(2). For the purposes of subparagraph (1) above, a derived culture is deemed to be any culture of the micro-organism which still exhibits those characteristics of the deposited culture which are essential to the carrying out of the invention. The undertaking referred to in subparagraph (1) shall not impede a deposit of a derived culture which is necessary for the purpose of patent procedure.

(3). The request provided for in paragraph (1) shall be made to the ARIPO Office on a form recognised by the ARIPO Office. The ARIPO Office shall certify on the said form that an ARIPO patent application referring to the deposit of the micro-organism has been filed and that the requester or the expert nominated by him is entitled to the issue of a sample of the micro-organism.

(4). The ARIPO Office shall transmit the request, together with the certification provided for in subparagraph (3) above, to the depositary institution as well as to the applicant or the proprietor of the patent.

(5). The Director General of the ARIPO Office shall publish in the Journal the list of depositary institutions recognised for the purposes of this Rule.

6bis. 3. Availability of Culture to Experts

(1). Until the date of publication of the application, the applicant may inform the ARIPO Office that until the grant of the patent or until the date on which the application has been refused or withdrawn or is deemed to be withdrawn, the availability of the culture referred to in Rule 6bis.2 shall be effected only by the issue of a sample to an expert nominated by the requester.

(2). The following may be nominated as an expert:

- (a) any natural person provided that the requester furnishes evidence at the time of filing the request that the nomination has the approval of the applicant;
- (b) any natural person recognised as an expert by the Director General of the ARIPO Office.

(3). The nomination shall be accompanied by an undertaking from the expert vis-à-vis the applicant similar to that specified in Rule 6bis.2 (1). In this instance the requester will be regarded as a third party.

6bis. 4. New deposit of a micro-organism

(1). If a micro-organism deposited in accordance with Rule 6bis.1 ceases to be available from the institution with which it was deposited because:

- (a) the micro-organism is no longer viable, or
- (b) for any other reason the depositary institution is unable to supply samples, and if the micro-organism has not been transferred to another depositary institution recognised for the purposes of Rule 6bis from which it continues to be available, an interruption in availability shall be deemed not to have occurred if a new deposit of the micro-organism originally deposited is made within a period of three months from the date on which the depositor was notified of the interruption by the depositary institution and if a copy of the receipt of the deposit issued by the institution is forwarded to the ARIPO Office within four months from the date of the new deposit stating the number of the application or the ARIPO patent.

(2). In the case provided for in subparagraph (1) (a) above, the new deposit shall be made with the depositary institution with which the original deposit was made; in the cases provided for in subparagraph (1) (b) above, it may be made with another depositary institution recognised for the purposes Rule 6bis.

(3). Where the institution with which the original deposit was made ceases to be recognised for the purposes of the application of Rule 6bis, either entirely or for the kind of micro-organisms to which the deposited micro-organism belongs, or where that institution discontinues, temporarily or definitively, the performance of its functions as regards deposited micro-organisms, and the notification referred to in subparagraph (1) from the depositary institution is not received within six months from the date of such event, the three months period referred to in subparagraph (1) shall begin on the date on which this event is announced in the Journal of the ARIPO Office.

(4). Any new deposit shall be accompanied by a statement signed by the depositor alleging that the newly deposited micro-organism is the same as that originally deposited.

Rule 7
Contents of the Claims

(1) The claims shall define the matter for which protection is sought in terms of the technical features of the invention. They shall be clear and concise and be supported by the description. The number of the claims shall be reasonable, taking into account the nature of the invention, and where there are several claims, they shall be numbered consecutively in Arabic numerals. Whenever appropriate, claims shall contain:

- (a) a statement indicating those technical features of the invention which are necessary for the definition of the latter but which, in combination, are part of the prior art; and
- (b) a characterizing portion - preceded by the words “characterized in that,” “characterized by,” “wherein the improvement comprises,” or any other words to the same effect - stating concisely the technical features which, in combination with the features stated under (a), it is desired to protect.

(2) Claims shall not, except where absolutely necessary, rely, in respect of the technical features of the invention, on references to the description or drawings. In particular, they shall not rely on such references as: “as described in part ... of the description,” or “as illustrated in figure ... of the drawings.”

(3) Claims related to medical indications or use claims shall, for the purpose of examination, be drafted in accordance with the standard phrases provided below:

(a) Claims regarded as first medical indications

- (i) Pharmaceutical composition for the prophylaxis/treatment of Y(=disease) comprising X(=a drug/medicament).
- (ii) Pharmaceutical composition comprising X.
- (iii) Compound X for use as a drug/medicament for the treatment of Y.
- (iv) Use of X for the manufacture of a pharmaceutical composition (Note that the disease indication is not mentioned in the claim).
- (v) Compound X for use as an analgesic.
- (vi) Compound X for use in treating disease Y.
- (vii) Compound A containing X for use in treating disease Y (composition A may be genetically defined).
- (viii) Medicament containing compound X.
- (ix) Use of X for preparing a medicament.

(b) Claims regarded as second medical indications

- (i) Use of X for the manufacture/preparation of a medicament/pharmaceutical composition for the treatment of Y.
- (ii) Process for the preparation of a medicament for treating disease Y characterized in that compound X is used as the main active component of the medicament.

Rule 8
Declaration of Priority

(1) The application may contain a declaration claiming, in respect of one or several designated States, the priority, as provided for in the Paris Convention, of one or more earlier national, regional or international applications filed by the applicant or his predecessor in title in or for any State party to the Paris Convention. The declaration shall indicate:

- (i) the date of the earlier application;
- (ii) the number of the earlier application, subject to paragraph (2);
- (iii) the name of the State in which the earlier application was filed or, where the earlier application is a regional or an international application, the name of the State or States for which it was filed; and

- (iv) where the earlier application is a regional or an international application, the office with which it was filed.

(2) Where, at the time of filing the declaration referred to in paragraph (1), the number of the earlier application is not known, that number shall be furnished within three months from the date on which the application containing the declaration was filed.

(3) The applicant may, at any time before the grant of the patent, amend the contents of the declaration.

(4) The applicant shall, within a period of three months from the filing of the application containing the declaration, furnish a copy of the earlier application, certified as correct by the office with which it was filed.

(5) Where the earlier application is in a language other than English, the applicant shall, within a period of six months from the filing of the application containing the declaration, furnish an English translation.

(6) If the requirements under this Rule have not been complied with, the declaration shall be disregarded.

Rule 9

Applications for the Registration of Industrial Designs

The Rules relating to patent applications shall, mutatis mutandis, apply to applications for the registration of industrial designs filed under Section 4 of the Protocol.

Rule 9bis

Application for the Registration of Utility Models

The Rules relating to patent applications, particularly Rules 5 to 8 and 20 of these Regulations, shall, mutatis mutandis, apply to applications for the registration of utility models filed under Section 3ter of the Protocol.

Rule 10

Filing of Application; Authorization of Representative

(1) Subject to Rule 11, any application may be filed with either the Office or the industrial property office of any Contracting State.

(2) The authorization of the applicant's representative shall be evidenced by a power of attorney issued and signed by the applicant and filed together with the application or filed within a period of two months after the filing of the application.

Rule 11
Fees to be Paid

(1) The fees to which applications are subject, and the amounts of such fees, shall be as set out in the Schedule of Fees which is annexed to these Regulations and forms part thereof, as well as in the Administrative Instructions.

(2) Subject to paragraph (3), fees shall be paid in U.S. dollars direct to the ARIPO Office and the application shall be accompanied by an undertaking signed by the applicant that he will effect payment to the ARIPO Office within a period of 21 days from the date on which the application is filed with the ARIPO office or the industrial property office of a Contracting State.

(3)(a) Notwithstanding paragraph (2), where the applicant is a national of the Contracting State in which the application is filed, the industrial property office concerned may

- (i) accept payment of the fees in local currency equivalent, at the prevailing official rate of exchange, to the prescribed fees; and
- (ii) request the ARIPO Office to debit its account in ARIPO with the amount of such fees.

(b) The ARIPO Office shall be bound by the decision taken by the industrial property office of a Contracting State concerning the applicability of this paragraph with regard to the nationality of the applicant.

Rule 12
Distribution of Fees

(1) The distribution of fees between the ARIPO Office and Contracting States shall be as follows:

- (a) 5% of the application fees shall be due to the Contracting State in which the application is filed and 95% shall be due to the ARIPO Office;
- (b) 50% of the designation fee shall be due to each designated State and 50% shall be due to the ARIPO Office;
- (c) 50% of the annual maintenance fee shall be due to the designated State and 50% shall be due to the ARIPO Office.

(2) Fees due to Contracting States shall be held in their favour or, on request, transmitted to them by the ARIPO Office.

Rule 13
Transmittal of Application

Where the application is filed with the industrial property office of a Contracting State, that office shall:

- (i) verify that the application on the face of it fulfills the requirements of Rule 5(1)(a), (b) and (c);
- (ii) verify that the undertaking with respect to fees has been submitted or that the fees have been paid and a receipt issued therefor;

- (iii) mark on each document making up the application the actual date of receipt, an appropriate number and its official stamp;
- (iv) issue to the applicant's representative an acknowledgement of receipt of the application; and
- (v) promptly transmit all documents making up the application to the ARIPO Office.

Rule 14
Filing Date

(1) The ARIPO Office shall accord as the filing date the date on which the application was received by the Office or the industrial property office of the Contracting State with which the application was filed, provided that the application on the face of it fulfills the requirements of Rule 5(1)(a), (b) and (c); if on the date on which the application was received by the Office or the industrial property office of the Contracting State it did not fulfill the requirements of Rule 5(1)(a), (b) and (c), the ARIPO Office shall accord as the filing date the date on which the application on the face of it fulfilled the requirements of Rule 5(1)(a), (b) and (c).

(2) The ARIPO Office shall notify the applicant and the industrial property office of each designated State of the filing date of the application.

Rule 15
Examination as to Formal Requirements

(1) Upon receiving the application, the ARIPO Office shall examine it for compliance with the requirements of Section 3(1) of the Protocol, Rules 5, 6, 7, 8, 10 and 11, and the Administrative Instructions, and ascertain whether the requisite fees have been paid.

(2) Where the ARIPO Office finds that the application does not comply with the said requirements, it shall invite the applicant to correct the application within a period of two months.

(3) If the applicant fails to comply with the invitation referred to in paragraph (2) and the ARIPO Office refuses the application under Section 3(2)(b) of the Protocol, a request by the applicant, pursuant to Section 3(4), that the ARIPO Office reconsider its decision may be submitted in writing within two months and shall state the applicant's grounds for requesting such reconsideration.

Rule 15bis
Time Limits

(1). The prescribed period referred to in Section 3(4) of the Protocol within which the applicant may request the Office to reconsider the matter shall be two months after the date of notification of the decision of the ARIPO Office that the application has been refused.

(2). The applicant may lodge an appeal against the decisions of the Office to the Board of Appeal within three months after the date of notification of the decision of the ARIPO Office.

Rule 16
***Information Concerning Corresponding
Foreign Applications, Patents
or Other Titles of Protection***

(1) The applicant shall, at the request of the ARIPO Office, and within the period specified in such request, furnish it with the date and number of any application for a patent or other title of protection filed by him with a national industrial property office or with a regional industrial property office (“foreign application”) relating to the same or essentially the same invention as that claimed in the application being processed by the ARIPO Office.

(2)(a) The applicant shall, at the request of the ARIPO Office and within the period specified in such request, furnish it with the following documents relating to one of the foreign applications referred to in paragraph (1):

- (i) a copy of any communication received by the applicant concerning the results of any search or examination carried out in respect of the foreign application;
- (ii) a copy of the patent or other title of protection granted on the basis of the foreign application;
- (iii) a copy of any final decision rejecting the foreign application or refusing the grant requested in the foreign application.

(b) The applicant shall, at the request of the ARIPO Office, furnish it with a copy of any final decision invalidating the patent or other title of protection granted on the basis of the foreign application referred to in paragraph (a).

(3) The applicant shall, at the request of the ARIPO Office, furnish it with the following documents relating to any foreign application other than the one referred to in paragraph (2):

- (i) a copy of any communication received by the applicant concerning the results of any search or examination carried out in respect of the foreign application and in which publications or other documents establishing prior art are mentioned;
- (ii) a copy of any final decision rejecting the foreign application or refusing the grant requested in the foreign application.

(4) The documents furnished under this Rule shall merely serve the purpose of facilitating the evaluation of the novelty and inventive step of the invention claimed in the application being processed by the ARIPO Office or in the patent granted on the basis of that application.

(5) The applicant shall have the right to submit comments on the documents furnished under this Rule.

Rule 17
Withdrawal of Application

The applicant may withdraw the application at any time during its pendency by submitting a written declaration to the ARIPO Office.

Rule 18
Examination as to Substance

(1) For the purposes of the examination under Section 3(3) of the Protocol, the ARIPO Office may transmit the application together with all relevant documents to an authority specified in the Administrative Instructions.

(2) A search and examination report shall be established by the ARIPO Office or by the authority referred to in paragraph (1) and shall contain the conclusions of the examination of the application.

(3) Where, taking due account of the conclusions of the search and examination report referred to in paragraph (2), the ARIPO Office reaches the conclusion that any of the requirements referred to in Section 3(3) of the Protocol are not fulfilled, it shall notify the applicant accordingly and invite him to submit, within a specified period, his observations and, where applicable, an amended application together with a request in accordance with Section 3(4) of the Protocol that the matter be reconsidered.

(4) Where the ARIPO Office, taking due account of the search and examination report, decides to grant the patent pursuant to Section 3(5) of the Protocol, it shall, by a notification to which shall be attached a copy of the search and examination report upon which the decision is based, communicate the decision to the applicant, the industrial property office and be made available to the public in each designated State, and request the applicant to make payment of the grant and publication fee, within the period it shall specify.

(5) A communication by a designated State pursuant to Section 3(6) of the Protocol shall be made on its behalf by its industrial property office.

(6) Within two weeks of receiving any such communication, the ARIPO Office shall promptly transmit a copy thereof to the applicant.

Rule 18bis
Examination of Utility Models as to Substance

(1) Without derogating from the generality of Rule 18, no utility model may be protected if it has already been the subject of a patent for an invention or a registration of a utility model based on a prior application or application benefiting from an earlier priority.

(2) Novelty and inventive steps within the Contracting States of the Protocol shall be applied with respect to utility models.

Rule 19
***Request for Conversion into
a National Application***

(1) A request by the applicant, pursuant to Section 3(8) or Section 3ter (9) of the Protocol, that the application be treated as an application under the national law of one or more of the designated States, may be filed within three months from the date of the refusal by the ARIPO Office of the request for reconsideration, and shall specify the designated States in which the procedure for the grant or registration of a national patent or utility model is desired.

(2) Within two weeks of receiving the request, the ARIPO Office shall transmit copies of the application, and all relevant documents, to the industrial property offices of the designated States specified by the applicant.

Rule 19bis
Publication of ARIPO Patent Applications

(1) An ARIPO patent application shall be published as soon as possible after the expiry of a period of 18 months from the date of filing or, if priority has been claimed, from the date of priority.

(2) For the purposes of the above sub-rule, in the case of applications claiming priority, the term of eighteen months shall be construed from the original filing date, and in the case of applications with two or more priority claims, the period shall be construed from the earliest priority dates.

Rule 20
Grant; Recordal and Publication

(1) Upon the expiry of the period of six months provided for in Section 3(6) and subject to payment of the grant and publication fee, the ARIPO Office shall in accordance with Section 3(7) of the Protocol:

- (a) grant the patent;
- (b) publish in the ARIPO Journal a reference to the grant;
- (c) record the patent in the Patents Register;
- (d) issue to the applicant a certificate of the grant of the patent and a copy of the patent;
- (e) transmit to each designated State for which the patent is granted a copy of the certificate and a copy of the patent.

(2) The publication of a reference to the grant in the ARIPO Journal shall include the following:

- (a) the number of the patent;
- (b) the name and address of the owner of the patent;
- (c) the name and address of the inventor;
- (d) the name and address of the applicant's representative;
- (e) the filing date of the application;
- (f) if priority has been claimed and the claim has been accepted, a statement of that priority, the priority date and the name of the country or countries in which or for which the earlier application was filed;
- (g) the effective date of the grant of the patent;
- (h) the title of the invention;
- (i) the abstract;

- (j) if there are drawings, the most illustrative drawing;
- (k) the symbol of the International Patent Classification;
- (l) the Contracting States for which the patent is granted.

(3) The Certificate of Grant, which shall be signed by the Director General of the ARIPO Office, shall contain:

- (a) the number of the patent;
- (b) the name and address of the owner of the patent;
- (c) the filing date and priority date, if any, of the application;
- (d) the effective date of the grant of the patent;
- (e) the title of the invention;
- (f) the Contracting States for which the patent is granted.

Rule 21

Payment of Annual Maintenance Fees

(1) The amount of annual maintenance fees payable under Section 3(11) of the Protocol shall be as prescribed in the Schedule of Fees.

(2) The annual maintenance fees shall fall due on the eve of each anniversary of the date of filing of the application and shall be paid in advance to the ARIPO Office.

(3) The ARIPO Office shall, at least one month prior to the date on which an annual maintenance fee shall fall due, issue a reminder to the applicant or the owner of the patent.

(4) Subject to the payment of the prescribed surcharge, a period of grace of six months shall be allowed for the payment of the annual maintenance fee.

(5) If an annual maintenance fee is not paid in accordance with this Rule, the application shall be deemed to have been withdrawn or the patent shall lapse.

(6) The ARIPO Office shall record each lapsed patent in the Patents Register and publish a notification of the lapse in the ARIPO Journal.

Rule 22

General Provisions

(1) Communications between the ARIPO Office and the industrial property offices of Contracting States on matters relating to the Protocol and these Regulations shall be effected direct and by registered airmail.

(2) Communications between the ARIPO Office and the Courts or other authorities of Contracting States on matters relating to the Protocol and these Regulations shall be effected through the intermediary of the industrial property office of the said States and shall be subject to Rule 22(1).

(3) The industrial property offices of designated States of which the national laws provide for the registration of licenses, assignments and other similar rights pertaining to patents, utility models and industrial designs shall, upon registering such rights with respect to a patent or utility model or an industrial design granted, registered or applied for under the Protocol, promptly provide the ARIPO Office with particulars of such registrations.

(4) The ARIPO Office shall record in the Registers, all notifications made under Rule 22(3).

(5) The ARIPO Office shall, in accordance with the procedure set out in the Administrative Instructions, register assignments, licenses and other similar rights pertaining to patents or utility models or industrial designs granted, registered or applied for under the Protocol with respect to designated States of which the national laws do not provide for such registrations.

Rule 22bis

Registration of Assignments, Licenses and Other Similar Rights

(1) Without derogating from the application of Rule 22 (3) and (5), an assignment of a patent, industrial design or utility model granted or registered shall be registered in the Patents Register, Industrial Designs Register and Utility Model Register, as the case may be, at the application of any interested party and on production of documents satisfying the ARIPO Office that the transfer has taken place.

(2) The application for registration shall be deemed not to have been fulfilled until the prescribed fee has been paid. The ARIPO Office may reject the application only in the event of failure to comply with the conditions laid down in paragraph (1) of this Rule.

(3) The assignment shall have effect vis-à-vis the ARIPO Office only when and to the extent that the documents referred to in paragraph (1) have been produced before the Office.

(4) Paragraphs (1) to (3) of this Rule shall apply *mutatis mutandis* to the registration of a license or other similar right.

(5) The registration referred to in paragraph (4) shall be cancelled upon application by any interested party on payment of a prescribed fee. Such application shall be supported either by documents establishing that the license or other similar right has lapsed or by a declaration by which the licensee or proprietor of other similar right consents to the cancellation of the registration. The application for cancellation may be rejected only if these conditions are not fulfilled.

Rule 23

International Applications

(1) Where an international application is filed with the ARIPO Office as receiving Office under the Patent Cooperation Treaty:

- (a) the transmittal fee referred to in Rule 14 of the Regulations under that Treaty shall be as prescribed in the Schedule of Fees annexed to these Regulations;

- (b) the applicant may be represented by an attorney, agent or legal practitioner who has the right to represent applicants before the industrial property Office of a Contracting State which is also bound by the Patent Cooperation Treaty.

(2) Where, in an international application, a Contracting State which is also bound by the Patent Cooperation Treaty is designated for the purpose of obtaining a patent under the provisions of the Protocol, the applicant shall, within the time limit applicable under Article 22 or 39 (1)(a) of the Patent Cooperation Treaty:

- (a) furnish an English translation of the international application to the ARIPO Office if the international application was published in a language other than English;
- (b) pay the following fees, as prescribed in the Schedule of Fees, to the ARIPO Office:
 - (i) the application fee;
 - (ii) the designation fee per country designated;
 - (iii) subject to Rule 21 (4), the annual maintenance fees which have become due;
- (c) where the applicant's ordinary residence or principal place of business is not in a Contracting State which is also bound by the Patent Cooperation Treaty - appoint as his representative an attorney, agent or legal practitioner who has the right to represent applicants before the industrial property office of such a Contracting State.

(3) An international search report established for an international application for which the ARIPO Office acts as designated Office under Article 2 (xiii) of the Patent Cooperation Treaty shall replace the search report referred to in Rule 18 (2).

(4) An international preliminary examination report established for an international application for which the ARIPO Office acts as elected Office under Article 2 (xiv) of the Patent Cooperation Treaty shall replace the examination report referred to in Rule 18 (2).

SCHEDULE I

FEES

PATENTS

	Kind of Fees	Amount (US Dollars or, where Rule 11 (3)(a) applies, the equivalent)
1.	Application fee	250
2.	Designation fee per country designated	75
3.	Examination Report fee ¹	250
4.	Search Report fee ¹	250
5.	Publication fee	300
6.	Surcharge for each additional page after 30 pages	15
7.	Surcharge for each additional claim after 10 claims	40
8.	Grant fee	300
9.	Annual maintenance fee in respect of each designated State	
	1st anniversary (2nd year from filing date)	40
	2 nd anniversary	60
	3 rd anniversary	80
	4 th anniversary	100
	5 th anniversary	120
	6 th anniversary	140
	7 th anniversary	160
	8 th anniversary	180
	9 th anniversary	200
	10 th anniversary	220
	11 th anniversary	240
	12 th anniversary	260
	13 th anniversary	280

	14 th anniversary	300
	15 th anniversary	320
	For each year thereafter an additional US \$50 per designated country.	
10.	Surcharge for late payment of annual maintenance fee and for every month or fraction thereof that the fee remains unpaid	100 50
11.	Correction of errors: the first error any additional error	50 20
12.	Consultation of Registers	10
13.	Request for copies of extracts from Register or from files: per page US \$5	
14.	Certified copy of ARIPO patent application or granted patent, plus US \$5 per page of the certified copy	100
15.	Transmittal fees for an international application filed with the ARIPO Office as the receiving Office under the Patent Cooperation Treaty (PCT)	50
16.	Preparation of abstract	100
17.	Conversion to a national patent application	100
18.	Conversion to an ARIPO utility model application	100
19.	Registration of assignments, transmissions, alteration of registered particulars, etc.	100
20.	Request for any extension	50

¹ Must be paid if the application has no corresponding substantive search or examination report.

UTILITY MODELS

	Kind of Fees	Amount (US Dollars or, where Rule 11 (3)(a) applies, the equivalent)
1.	Application fee	100
2.	Designation fee (per country)	20
3.	Registration and Publication fee	50
4.	Maintenance fees (per Designated State):	
	1 st year	20
	2 nd year	25
	3 rd year	30
	4 th year	35
	5 th year	40
	6 th year	45
	7 th year	50
	For each year thereafter	10
5.	Surcharge for late payment of annual maintenance fees	30
	For every month or fraction thereof that the fees remain unpaid	5
6.	Certified copy per page	2
	and for every page in excess of 10 pages	1
7.	Consultation of Register	2
	and for every page in excess of 10 pages	1
8.	Correction of errors:	
	the first error	20
	any additional errors	2.50
9.	Certified copy of an entry of the Register	20
10.	Conversion to national application	50
11.	Registration of assignments, transmissions, alteration of registered particulars	30
	US \$1 for every page in excess of 10 pages	

INDUSTRIAL DESIGNS

	Kind of Fees	Amount (US Dollars or, where Rule 11 (3)(a) applies, the equivalent)
1.	Application fee	50
2.	Designation fee per country designated	10
3.	Registration and publication fee	75
4.	Annual maintenance fee in respect of each designated State	
	1 st anniversary	10
	2 nd anniversary	12
	3 rd anniversary	14
	4 th anniversary	16
	5 th anniversary	18
	6 th anniversary	20
	7 th anniversary	24
	8 th anniversary	28
	9 th anniversary	32
	10 th anniversary	36
5.	Surcharge for late payment of annual maintenance fees: For every month or fraction thereof that the fees remain unpaid	15 2
6.	Certified copy per page and for every page in excess of 10 pages	2 1
7.	Consultation of the Register: and for every page in excess of 10 pages	2 1
8.	Correction of errors: the first error any additional errors	10 2.50
9.	Certified copy of an entry of the Register	10
10.	Conversion to national application	50
11.	Registration of assignments, transmissions, alteration of registered particulars, etc. US \$1 for every page in excess of 10 pages	20

SCHEDULE II

FORMS

LIST OF FORMS

Form No.	Description/Title
1	Request for alteration of name or address or for correction of error
2	Request for copies of extracts from register or from files
3	Request for grant of patent, registration of industrial design or utility model
4	Appointment of representative (power of attorney)
5	Request by contracting state for remittance of fees
6	Notification by receiving office of non-compliance with application fee payment requirements
7	Invitation by receiving office to correct application
8	Acknowledgement by receiving office of receipt of application
9	Transmittal by receiving office to ARIPO Office of application
10	Notification by receiving office of transmittal of application
11	Invitation by ARIPO Office to correct application
12	Notification of filing date
13	Notification of compliance with formal requirements
14	Notification of decision refusing application on grounds of non-compliance with formal requirements
15	Request to reconsider decision refusing application on grounds of non-compliance with formal requirements
16	Request by ARIPO Office for information concerning corresponding foreign applications, patents or other titles of protection
17	Declaration of withdrawal of application
18	Notification of non-compliance with substantive requirements and invitation to submit observations and/or amended application
19	Request to reconsider decision that substantive requirements have not been complied with
20	Notification of decision refusing to grant or registration

LIST OF FORMS (CONTD.)

Form No.	Description/Title
21	Notification of decision to grant or register
22	Communication by designated state that patent or utility model shall have no effect in its territory
23	Request for conversion of application into national application
24	Transmittal by ARIPO Office of application and documents to designated state upon request for conversion into national application
25	ARIPO patent
26	Certificate of grant of patent
27	Reminder to pay annual maintenance fee due
28	Request for registration of industrial design
29	Invitation by receiving office to correct application for registration of industrial design
30	Transmittal by receiving office to ARIPO Office of application for registration of industrial design
31	Notification by receiving office of transmittal of application for registration of industrial design
32	Invitation by ARIPO Office to correct application for registration of industrial design
33	Notification of filing date of application for registration of industrial design
34	Notification that application for registration of industrial design complies with formal requirements
35	Notification of decision refusing application for registration of industrial design on grounds of non-compliance with formal requirements
36	Declaration of withdrawal of application for registration of industrial design
37	Communication by designated state that registration of industrial design shall have no effect in its territory

LIST OF FORMS (CONTD.)

Form No.	Description/Title
38	Request for conversion of application for registration of industrial design into national application
39	Certificate of registration of industrial design
40	Notification by designated state of registration of licence, assignment, transmission or other similar right pertaining to patent or industrial design, granted, registered or applied for under Protocol
41	Application to register assignment, transmission or other form of transfer
42	Application to register licence or other similar right



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 1 HARARE PROTOCOL REQUEST FOR ALTERATION OF NAME OR ADDRESS OR FOR CORRECTION OF ERROR (Instruction 5(1)) To: Director General ARIPO Office P.O. Box 4228 Harare Zimbabwe	For Official Use Received on: Applicant's or Representative's File Reference:												
<p>I. IN THE MATTER OF:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 60%;"><input type="checkbox"/> Application for Grant of Patent No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Patent No:</td> <td>Date of grant:</td> </tr> <tr> <td><input type="checkbox"/> Application for Utility Model No:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Utility Model No:</td> <td>Registration date:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Industrial Design No:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Industrial Design No:</td> <td>Registration date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:	<input type="checkbox"/> Patent No:	Date of grant:	<input type="checkbox"/> Application for Utility Model No:	Filing date:	<input type="checkbox"/> Utility Model No:	Registration date:	<input type="checkbox"/> Application for Registration of Industrial Design No:	Filing date:	<input type="checkbox"/> Industrial Design No:	Registration date:
<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:												
<input type="checkbox"/> Patent No:	Date of grant:												
<input type="checkbox"/> Application for Utility Model No:	Filing date:												
<input type="checkbox"/> Utility Model No:	Registration date:												
<input type="checkbox"/> Application for Registration of Industrial Design No:	Filing date:												
<input type="checkbox"/> Industrial Design No:	Registration date:												
<p>II. PERSON(S) REQUESTING</p> Name: In the capacity of: Address:													
<p>III. REQUEST</p> I/We hereby request that: <input type="checkbox"/> The following entry in the: <input type="checkbox"/> Patents Register <input type="checkbox"/> Utility Model Register <input type="checkbox"/> Industrial Designs Register in relation to the above-identified matter*: <input type="checkbox"/> The following document filed in connection with the above-identified matter*: be altered/corrected: <input type="checkbox"/> as shown in red ink on the annexed copy of the said entry or document <input type="checkbox"/> as follows													
<p>IV. SIGNATURE(S)** (Date)</p>													

* Clearly identify the entry or document to be altered or corrected.

** Type name(s) under signature(s).



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

<p>ARIPO Form No. 2 HARARE PROTOCOL</p> <p>REQUEST FOR COPIES OF EXTRACTS FROM REGISTER OR FROM FILES (Rule 3; Instruction 6(2))</p> <p>To: Director General ARIPO Office P.O. Box 4228 Harare Zimbabwe</p>	<p>For Official Use Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>												
<p>I. PERSON(S) REQUESTING</p> <p>Name:</p> <p>Address:</p>													
<p>II. REQUEST</p> <p>I/We hereby request that I/we be supplied with (number) copies of the following extract(s)*:</p> <p>.....</p> <p>from the <input type="checkbox"/> Patents Register <input type="checkbox"/> Industrial Designs Register <input type="checkbox"/> Utility Model Register <input type="checkbox"/> Files relating to:</p> <table><tr><td>Application for Grant of Patent, No:</td><td>Filing date:</td></tr><tr><td>Patent No:</td><td>Date of grant:</td></tr><tr><td>Application for Registration of Industrial Design, No:</td><td>Filing date:</td></tr><tr><td>Registration of Industrial Design No:</td><td>Registration date:</td></tr><tr><td>Application for Registration of Utility Model, No:</td><td>Filing date:</td></tr><tr><td>Registration of Utility Model, No:</td><td>Registration date:</td></tr></table> <p>The written permission of the applicant/person who withdrew the application accompanies this request Form.**</p>		Application for Grant of Patent, No:	Filing date:	Patent No:	Date of grant:	Application for Registration of Industrial Design, No:	Filing date:	Registration of Industrial Design No:	Registration date:	Application for Registration of Utility Model, No:	Filing date:	Registration of Utility Model, No:	Registration date:
Application for Grant of Patent, No:	Filing date:												
Patent No:	Date of grant:												
Application for Registration of Industrial Design, No:	Filing date:												
Registration of Industrial Design No:	Registration date:												
Application for Registration of Utility Model, No:	Filing date:												
Registration of Utility Model, No:	Registration date:												
<p>III. SIGNATURE(S)***</p> <p>.....</p> <p>..... (Date)</p>													

* Clearly identify the extract(s) whose copy is requested.

** Please note that Rule 3(2)(c) requires the written permission of the applicant, or the person who withdrew an application, in order to obtain copies of extracts from the files.

*** Type name(s) under signature(s).



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 3 HARARE PROTOCOL REQUEST FOR GRANT OF PATENT, REGISTRATION OF INDUSTRIAL DESIGN OR UTILITY MODEL (Rule 5(5); Instruction 16) To*:	<p style="text-align: right;">For Official Use</p> Date of Receipt by receiving Office: APPLICATION No. given by receiving Office: <p style="text-align: center;">(Receiving Office's stamp)</p> Date of receipt by ARIPO Office: APPLICATION No. given by ARIPO Office: <p style="text-align: center;">(ARIPO Office's stamp)</p> FILING DATE: Applicant's or Representative's File Reference:
IN THE MATTER OF: <input type="checkbox"/> Application for Grant of Patent <input type="checkbox"/> Application for Registration of Utility Model <input type="checkbox"/> Application for Registration of Industrial Design	
I. TITLE OF INVENTION:	
II. APPLICANT(S) (The data concerning each applicant must appear in this box or, if that space is insufficient, in the supplemental box.) Additional information is contained in the supplemental box Name: Address: Nationality: Country of residence or principal place of business: Telephone Number E-mail Address Fax Number	
III. REPRESENTATIVE The following representative has been appointed by the applicant(s) in the power of attorney on ARIPO Form No. 4 <input type="checkbox"/> accompanying this Form <input type="checkbox"/> to be filed within two months from the filing of this Form Name: Address: Telephone Number E-mail Address Fax Number	
IV. DESIGNATION OF STATES	

* Indicate name and address of the receiving Office (i.e., the industrial property office with which this request Form is filed).

ARIPO Form No. 3 (cont'd)

V. INVENTOR

The inventor is the applicant Additional information is contained in supplemental box

If inventor is not the applicant:

Name:

Address:

The statement specifying the basis of the applicant's right to the patent or utility model accompanies this Form

VI. DIVISIONAL APPLICATION

This application is a divisional application

The benefit of the filing date priority date of the initial application is claimed in as much as the subject matter of the present application is contained in the initial application identified below.

Initial Application No.:

Date of filing of initial application:

VII. DISCLOSURES TO BE DISREGARDED FOR PRIOR ART PURPOSES

Disclosure occurred at an official or officially recognized exhibition not more than six months before the date of filing or priority date of the present application

Additional information is contained in a statement accompanying this Form

VIII. PRIORITY DECLARATION (if any)

The priority of (an) earlier application(s) is claimed as follows

The priority of more than one earlier application is claimed, the data are indicated in the supplemental box

Country (if the earlier application is a regional or international application, indicate the office with which and the countries for which it was filed):	Filing Date:
	Application No.:
	Symbol of the International Patent Classification.:
	<input type="checkbox"/> Not yet allocated

The certified copy of the earlier application:

accompanies this Form

will be furnished within three months from the filing of this Form

The English translation of the earlier application:

accompanies this Form

will be furnished within six months from the filing of this Form

ARIPO Form No. 3 (cont'd)

IX. SUPPLEMENTAL BOX*

X. CHECKLIST

A. This application contains the following:

- | | |
|-----------------------------|-------------------------------|
| 1. request sheet(s); | 2. description sheet(s) |
| 3. claim(s) sheet(s); | 4. abstract sheet(s) |
| Total sheets | |

B. This Form, as filed, is accompanied by the items checked below:

- separate signed power of attorney on ARIPO Form No. 4
- statement specifying the basis of the applicant's right to the patent
- statement that certain disclosures be disregarded
- priority document(s) (certified copy of earlier application(s))
- English translation of earlier application(s) on which priority declaration is based
- receipt for the fees paid
- undertaking to pay the prescribed fees within 21 days
- other document(s) (specify)
-

C. Figure number of the drawings (if any) is suggested to accompany the abstract for publication

XI. SIGNATURE(S)**

.....
Date

* Use this box if any of the boxes is not large enough to contain information to be furnished. Indicate the boxes continued in this box by their roman numerals and title (e.g., "II. APPLICANT(S) (continued)").

** Type name(s) under signature.

ARIPO Form No. 3 (cont'd)

TO BE FILLED IN BY THE RECEIVING OFFICE OR THE ARIPO OFFICE (as the case may be)

1. Date of receipt by receiving Office of corrections, later filed papers or drawings completing the application:

2. Date of receipt by ARIPO Office of corrections, later filed papers or drawings completing the application:

3. Date fees received: By:



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 4 HARARE PROTOCOL APPOINTMENT OF REPRESENTATIVE (POWER OF ATTORNEY) (Rule 10(2); Instruction 19) To*:	For Official Use Received on: Applicant's or Representative's File Reference:			
I/We, the undersigned, Name: Address: hereby appoint (name) address: <table style="width: 100%; border: none;"> <tr> <td style="width: 33%;">Telephone Number</td> <td style="width: 33%;">E-mail Address</td> <td style="width: 33%;">Fax Number</td> </tr> </table> to act as my/our representative in all proceedings relating to: [] Application for grant of patent and any patent granted pursuant thereto** (.....). [] Application for registration of utility model*** [] Application for registration of industrial design and any registration effected pursuant thereto**** (.....). [] Other (specify)		Telephone Number	E-mail Address	Fax Number
Telephone Number	E-mail Address	Fax Number		
and ratify all acts done by the representative on my/our behalf in connection with that (those) matter(s), and request that all notices, requisitions and communications relating thereto be sent to the said representative at his address. Any previous appointment in respect of the same matter(s) is hereby revoked.				
XI . SIGNATURE(S)***** <div style="display: flex; justify-content: space-between; margin-top: 20px;"> </div> <div style="text-align: right; margin-top: 5px;">Date</div>				

* If filed together with the request Form, indicate name and address of receiving Office; if filed subsequently, indicate ARIPO Office and its address.

** Indicate title of invention and application number, if known.

*** Indicate title of the utility model.

**** Indicate title (if any) of industrial design and application number, if known.

***** Must be signed by the person(s) appointing the representative; type name(s) under signature(s).



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 5 HARARE PROTOCOL</p> <p>REQUEST BY CONTRACTING STATE FOR REMITTANCE OF FEES (Rule 12(2); Instruction 30)</p> <p>To: Director General ARIPO Office P.O. Box 4228 Harare Zimbabwe</p>	<p>For Official Use (by ARIPO Office)</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>We hereby request the ARIPO Office that the fees due to and held by the ARIPO Office in favour of (name of Contracting State) be remitted, pursuant to Rule 12(2), to*: as follows**:</p>	
<p>SIGNATURE*** Date</p>	

* Indicate office and address.

** Indicate mode of payment.

*** Type name and title under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 6 HARARE PROTOCOL NOTIFICATION BY RECEIVING OFFICE OF NON-COMPLIANCE WITH APPLICATION FEE PAYMENT REQUIREMENTS (Instruction 31(1) and 63) To*:	For Official Use Received on:
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model <input type="checkbox"/> Application for registration of industrial design Application No. given by receiving Office: Date of receipt by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify you that the requirements of Rule 11 with respect to the prescribed fees have not been fulfilled for the following reasons: You are further notified that, pursuant to Instruction 31 (1), the application will not be transmitted to the ARIPO Office until the said requirements have been fulfilled.	
IV. SIGNATURE** INDUSTRIAL PROPERTY OFFICE***	

* Type name and address of applicant's representative.

** Type name and title under signature.

*** Type name and State of receiving Office.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 7 HARARE PROTOCOL INVITATION BY RECEIVING OFFICE TO CORRECT APPLICATION (Instruction 31(2)) To*:	For Official Use Applicant's or Representative's File Reference:
I. IN THE MATTER OF: [] Application for grant of patent [] Application for registration of utility model Application No. given by receiving Office: Date of receipt by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. INVITATION The*** [] after examining, pursuant to Rule 13, whether the above-identified application on the face of it fulfills the requirements of Rule 5(1)(a), (b) and (c), finds that the application on the face of it does not fulfill such requirements with respect to [] the request [] the description [] the claim(s) [] finds that the application does not comply with Instruction 26(1), and therefore hereby requests that the applicant, <u>within two months</u> from the date of this invitation, <u>either</u> file the following correction, together with the payment of the prescribed fee, <u>or</u> request this Office to transmit all documents making up the application to the ARIPO Office:	
IV. SIGNATURE** Date INDUSTRIAL PROPERTY OFFICE***	

* Type name and address of applicant's representative.

** Type name and title under signature.

*** Type name and State of receiving Office.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 8 HARARE PROTOCOL ACKNOWLEDGEMENT BY RECEIVING OFFICE OF RECEIPT OF APPLICATION (Rule 13(iv); Instructions 33 and 63) To*:	For Official Use
Applicant's or Representative's File Reference:	
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model Application No. given by the receiving Office:	
II. TITLE OF INVENTION	
III. APPLICANT(S) Name: Address:	
IV. ACKNOWLEDGEMENT OF RECEIPT We hereby acknowledge receipt of the above-identified application on (date).	
IV. SIGNATURE** <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="border-bottom: 1px dotted black; width: 40%;"></div> <div style="border-bottom: 1px dotted black; width: 40%;"></div> </div> <div style="text-align: right; margin-right: 10%;"> (Date) </div> <div style="margin-top: 20px;"> INDUSTRIAL PROPERTY OFFICE*** </div>	

* Type name and address of applicant's representative.

** Type name and title under signature.

*** Type name and State of receiving Office.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 10 HARARE PROTOCOL NOTIFICATION BY RECEIVING OFFICE OF TRANSMITTAL OF APPLICATION (Instruction 34(3)) To*:	For Official Use Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model Application No. given by receiving Office: Date of receipt by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify the applicant(s), pursuant to Instruction 34(3), that the documents making up the above-identified application have been transmitted, as required by Rule 13 and Instruction 34(1) and (2), to the ARIPO Office on (date). Any further documents filed by the applicant with respect to the above-identified application shall henceforth be filed directly with the ARIPO Office, in three copies (except, if a priority is claimed, the earlier application and any translation thereof, which shall be filed in one copy).**	
IV. SIGNATURE*** (Date) INDUSTRIAL PROPERTY OFFICE****	

* Type name and address of applicant's representative.

** See Instruction 26(1).

*** Type name and title under signature.

**** Type name and State of receiving Office.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 11 HARARE PROTOCOL INVITATION BY ARIPO OFFICE TO CORRECT APPLICATION (Instructions 36(1) (ii) and 38(1)) To*:	For Official Use
Applicant's or Representative's File Reference:	
<p>I. IN THE MATTER OF**:</p> <p><input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model</p> <p>Application No. given by receiving Office: Application No. given by ARIPO Office: Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. INVITATION</p> <p><input type="checkbox"/> After examining, pursuant to Rule 14 and Instruction 36(1)(ii), whether the above-identified application on the face of it fulfils the requirements of Rule 5(1)(a), (b) and (c), the ARIPO Office finds that the application on the face of it does not fulfill such requirements with respect to <input type="checkbox"/> the request <input type="checkbox"/> the description <input type="checkbox"/> the claim(s) and therefore hereby requests that the applicant(s), <u>within two months</u> from the date of this invitation, file the following correction, together with the payment of the prescribed fee:</p> <p>THE APPLICATION SHALL NOT BE ACCORDED A FILING DATE UNTIL THE SAID REQUIREMENTS ARE FULFILLED.</p> <p><input type="checkbox"/> After examining, pursuant to Rule 15 and Instruction 37, whether the above-identified application fulfills the prescribed formal requirements, the ARIPO Office finds that the application does not fulfill such requirements and therefore hereby requests that the applicant(s), <u>within two months</u> from the date of this invitation, file the following correction, together with the payment of the prescribed fee:</p>	

* Type name and address of applicant's representative.

** If applicant has not yet been notified of the filing date and application number given to the application, by the ARIPO Office, on Form No. 12, only the application number given by the receiving Office shall be quoted. If applicant has been notified of the filing date and application number given to the application, by the ARIPO Office, on Form No. 12, only that number and the filing date shall be quoted.

ARIPO Form No. 11 (cont'd)

[] After examining, pursuant to Rule 15, whether the above-identified application contains an abstract, as required by Rule 5(1), the ARIPO Office finds that the application does not fulfill such requirement and therefore hereby requests, pursuant to Instruction 40, that the applicant(s), within two months from the date of this invitation, either file the required abstract, together with the payment of the prescribed fee, or pay the prescribed fee for the preparation of the abstract by the ARIPO Office.

IV. SIGNATURE*

.....
DIRECTOR GENERAL
ARIPO Office

.....
(Date)

* Type name of Director General under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 12 HARARE PROTOCOL NOTIFICATION OF FILING DATE (Rule 14(2); Instruction 36(3)) To*:	For Official Use Received on:
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF [] Application for grant of a patent [] Application for registration of a utility model Application No. given by ARIPO Office**: Application No. given by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify you, pursuant to Rule 14(2), that the above-identified application has been accorded (date) as its filing date. This notification is being sent to***: 	
IV. SIGNATURE**** <div style="display: flex; justify-content: space-around; align-items: center;"> <div style="text-align: center;"> DIRECTOR GENERAL ARIPO Office </div> <div style="text-align: center;"> (Date) </div> </div>	

* A notification Form No. 12 shall be sent to the applicant's representative and to the industrial property office of each designated State.

** Please quote the application number given by the ARIPO Office in all subsequent communications concerning this application.

*** Indicate all those to whom a notification Form No. 12 is being sent in connection with the above-identified application.

**** Type name of Director General under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 13 HARARE PROTOCOL NOTIFICATION OF COMPLIANCE WITH FORMAL REQUIREMENTS (Instruction 41) To*:	For Official Use Received on:
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF: [] Application for grant of patent [] Application for registration of utility model Application No.: Filing Date:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify you, pursuant to Instruction 41, that the above-identified application complies with the prescribed formal requirements. This notification is being sent to**: 	
IV. SIGNATURE*** DIRECTOR GENERAL ARIPO Office	
..... (Date)	

* A notification Form No. 13 shall be sent to the applicant's representative and to the industrial property office of each designated state.

** Indicate all those to whom a notification Form No. 13 is being sent in connection with the above-identified application.

*** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 14 HARARE PROTOCOL NOTIFICATION OF DECISION REFUSING APPLICATION ON GROUNDS OF NON-COMPLIANCE WITH FORMAL REQUIREMENTS (Instructions 42(2) and 43(2)) To*:	For Official Use
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model <p style="text-align: center;">Application No.: Filing Date:</p>	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION <input type="checkbox"/> We hereby notify the applicant(s), pursuant to Instruction 42(2), that the ARIPO Office has decided to refuse the above-identified application on grounds of non-compliance with the prescribed formal requirements because the applicant(s) failed to respond to the invitation by the ARIPO Office to correct the above-identified application, which was transmitted to the applicant(s) on ARIPO Form No. 11, dated <input type="checkbox"/> We hereby notify the applicant(s), pursuant to Instruction 42(2), that, for the reasons stated below, the ARIPO Office has decided to refuse the above-identified application on grounds of non-compliance with the prescribed formal requirements despite any correction which was made by the applicant(s) on (date) in response to the invitation by the ARIPO Office to correct the above-identified application (transmitted to the applicant(s) on ARIPO Form No. 11, dated): <p style="text-align: center;">ACCORDING TO SECTION 3(4), RULE 15(3) AND INSTRUCTION 43(1), THE APPLICANT MAY, <u>WITHIN TWO MONTHS</u> FROM THE DATE OF THIS NOTIFICATION, REQUEST, ON ARIPO FORM NO. 15, THAT THE ARIPO OFFICE RECONSIDER THE DECISION ABOVE NOTIFIED.</p>	

* A notification Form No. 14 shall be sent in the first instance to the applicant's representative pursuant to Instruction 42(2)(i.e., when any of the first two boxes is ticked off); if the applicant does not request the ARIPO Office to reconsider its decision refusing the application on grounds of non-compliance with formal requirements or if the ARIPO Office refuses the application notwithstanding such a request for reconsideration (i.e., when the third or fourth box is ticked off), a notification Form No. 14 shall then be sent, pursuant to Instruction 43(2), to the receiving Office and to the industrial property office of each designated State to which an ARIPO Form No. 12 was sent, as well as to the applicant's representative.

ARIPO Form No. 14 (cont'd)

[] We hereby notify you, pursuant to Instruction 43(2), that the ARIPO Office notified the above applicant(s) of its decision to refuse the above-identified application on grounds of non-compliance with the prescribed formal requirements for the reasons indicated to the applicant(s) on ARIPO Form No. 14, dated (a copy of which is attached hereto), and that, since the said applicant(s) has/have not requested the ARIPO Office to reconsider its decision, as allowed for in Section 3(4), the decision by the ARIPO Office to refuse the said application is considered final.

[] We hereby notify you, pursuant to Instruction 43(2), that, notwithstanding the applicant's request, on ARIPO Form No. 15, dated (a copy of which is attached hereto), for the ARIPO Office to reconsider its decision refusing the above-identified application on grounds of non-compliance with the prescribed formal application on grounds of non-compliance with the prescribed formal requirements (notified to the applicant(s) on ARIPO Form No. 14, dated), the ARIPO Office refuses the said application for the following reasons:

ACCORDING TO SECTION 3(8), RULE 19(1) AND INSTRUCTION 54(1), THE APPLICANT MAY, WITHIN THREE MONTHS FROM THE DATE OF THE NOTIFICATION OF THE DECISION REFUSING THE APPLICATION NOTWITHSTANDING THE APPLICANT'S REQUEST FOR RECONSIDERATION UNDER SECTION 3(4), REQUEST, ON ARIPO FORM NO. 23, THAT HIS APPLICATION BE TREATED, IN ANY DESIGNATED STATE, AS AN APPLICATION ACCORDING TO THE NATIONAL LAW OF THAT STATE.

This notification is being sent to*:

.....
.....
.....

IV. SIGNATURE**

.....
DIRECTOR GENERAL
ARIPO Office

.....
Date

* Indicate all those to whom a notification Form No. 14 is being sent in connection with the above-identified application.

** Type name of Director General under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 15 HARARE PROTOCOL REQUEST TO RECONSIDER DECISION REFUSING APPLICATION ON GROUNDS OF NON-COMPLIANCE WITH FORMAL REQUIREMENTS (Rule 15(3); Instruction 43) To: Director General ARIPO Office P.O. Box 4228 Harare Zimbabwe	For Official Use Received on:
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF: [] Application for grant of patent [] Application for registration of utility model Application No.: Filing Date:	
II. APPLICANT(S) Name: Address:	
III. REQUEST The above-identified applicant(s), in response to the notification of the decision refusing the above-identified application for patent or utility model on grounds of non-compliance with formal requirements, transmitted on ARIPO Form No. 14, dated, hereby request(s), pursuant to Section 3(4), that the ARIPO Office reconsider its decision for the following reasons:	
IV. SIGNATURE* (Date)	

* Type name under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 16 HARARE PROTOCOL REQUEST BY ARIPO OFFICE FOR INFORMATION CONCERNING CORRESPONDING FOREIGN APPLICATIONS, PATENTS OR OTHER TITLES OF PROTECTION Rule 16; Instructions 45 and 46) To*:	For Official Use
	Applicant's or Representative's File Reference:
<p>I. IN THE MATTER OF:</p> <p>[] Application for grant of patent [] Application for registration of utility model</p> <p style="text-align: center;">Application No.:</p> <p style="text-align: center;">Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. REQUEST</p> <p>We hereby request, pursuant to Rule 16 and Instructions 45 and 46, that the applicant(s) furnish(es) to the ARIPO Office:</p> <p>[] within (period specified)**, the date and number of any applications for a patent or other title of protection filed by the applicant(s) with a national industrial property office or with a regional industrial property office ("foreign application") relating to the same or essentially the same invention as that claimed in the above-identified application.</p> <p>[] within (period specified)**, the following documents relating to the following foreign application***</p> <ul style="list-style-type: none"> (i) a copy of any communication received by the applicant(s) concerning the results of any search or examination carried out in respect of the foreign application; (ii) a copy of the patent or other title of protection granted on the basis of the foreign application; (iii) a copy of any final decision rejecting the foreign application or refusing the grant requested in the foreign application; (iv) a copy of any final decision invalidating the patent or other title of protection granted on the basis of the foreign application. 	

* Type name and address of applicant's representative.

** See Instruction 46(1).

*** Identify application by its date, number and the industrial property office with which it was filed. The application should be one of the foreign applications in respect of which information was furnished pursuant to Rule 16(1) (see Rule 16(2)).

ARIPO Form No. 16 (cont'd)

<p>[] within (period specified)*, the following documents relating to the following foreign application(s)**</p> <p>.....</p> <p>(i) a copy of any communication received by the applicant concerning the results of any search or examination carried out in respect of the foreign application and in which applications or other documents establishing the prior art are mentioned;</p> <p>(ii) a copy of any final decision rejecting the foreign application or refusing the grant requested in the foreign application.</p> <p>THE APPLICANT HAS THE RIGHT TO SUBMIT COMMENTS ON THE DOCUMENTS FURNISHED PURSUANT TO THIS REQUEST.</p>
<p>IV. SIGNATURE***</p> <p>.....</p> <p>DIRECTOR GENERAL ARIPO Office</p> <p>.....</p> <p>(Date)</p>

* See Instruction 46(1).

** Identify application(s) by its (their) date, number and the industrial property office with which filed. The application(s) should be any foreign application(s) other than the one in respect of which information was furnished pursuant to Rule 16(2) (see Rule 16(3)).

*** Type name of Director General under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 17 HARARE PROTOCOL DECLARATION OF WITHDRAWAL OF APPLICATION (Rule 17; Instruction 47(1)) To: Director General ARIPO Office P.O. Box 4228 Harare Zimbabwe	For Official Use Received on:
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF: [] Application for grant of patent [] Application for registration of utility model Application No.: Filing Date:	
II. APPLICANT(S) Name: Address:	
III. DECLARATION I/We hereby declare my/our desire that the above-identified application be withdrawn.	
IV. SIGNATURE* (Date)	

* Type name(s) under signature(s). Note that, pursuant to Instruction 47(1), a declaration of withdrawal must be signed by the applicant or by all applicants if there are more than one.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 18 HARARE PROTOCOL NOTIFICATION OF NON-COMPLIANCE WITH SUBSTANTIVE REQUIREMENTS AND INVITATION TO SUBMIT OBSERVATIONS AND/OR AMENDED APPLICATION (Rule 18(3); Instruction 50(2)) To*:	For Official Use Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF: [<input type="checkbox"/>] Application for grant of patent [<input type="checkbox"/>] Application for registration of utility model Application No.: Filing Date:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION AND INVITATION Pursuant to Rule 18(3), we hereby notify the applicant(s) that, taking due account of the conclusions of the search and examination report referred to in Rule 18(2) (a copy of which is attached hereto), the ARIPO Office has concluded that the following substantive requirements referred to in Section 3(3), and the Regulations and Instructions pertaining thereto, have not been fulfilled with respect to the above-identified application for the following reasons and therefore hereby invites the applicant(s) to submit, within (period specified)**, his/their observations and, where applicable, an amended application, together with a request for reconsideration of decision on ARIPO Form No. 19 and the payment of the prescribed fee:	
IV. SIGNATURE*** <div style="display: flex; justify-content: space-between; align-items: center;"> </div> <div style="display: flex; justify-content: space-between; align-items: center; margin-top: 5px;"> DIRECTOR GENERAL ARIPO Office (Date) </div>	

* Type name and address of applicant's representative.

** See Instruction 50(3).

*** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 19 HARARE PROTOCOL</p> <p>REQUEST TO RECONSIDER DECISION THAT SUBSTANTIVE REQUIREMENTS HAVE NOT BEEN COMPLIED WITH (Rule 18(3); Instructions 50(4) and 51(3))</p> <p>To: Director General ARIPO Office P.O. Box 4228 Harare Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Received on</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p><input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model</p> <p>Application No.:</p> <p>Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. REQUEST</p> <p><input type="checkbox"/> The above-identified applicant(s), in response to the notification, with respect to the above-identified application, of non-compliance with substantive requirements and the invitation to submit observations and any amendments, which notification and invitation were transmitted on ARIPO Form No. 18, dated, submit(s), attached hereto, <input type="checkbox"/> observations and/or <input type="checkbox"/> an amended application, and hereby request(s) the ARIPO Office, pursuant to Rule 18(3), to reconsider the matter.</p> <p><input type="checkbox"/> The above-identified applicant(s), in response to the notification of the decision refusing to grant a patent on the above-identified application on grounds of non-compliance with substantive requirements, which notification was transmitted on ARIPO Form No. 20, dated, hereby request(s) the ARIPO Office, pursuant to Section 3(4), to reconsider its decision for the following reasons:</p>	
<p>IV. SIGNATURE*</p> <p>.....</p> <p style="text-align: right;">..... (Date)</p>	

* Type name under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 20 HARARE PROTOCOL NOTIFICATION OF DECISION REFUSING TO GRANT OR REGISTRATION (Instruction 51) To*:	For Official Use Applicant's or Representative's File Reference:
I. IN THE MATTER OF: [] Application for grant of patent [] Application for registration of utility model Application No.: Filing Date:	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION [] We hereby notify the applicant(s), pursuant to Instruction 51(1), that the ARIPO Office has decided to refuse to grant a patent on the above-identified application on grounds of non-compliance with substantive requirements because the applicant(s) failed to respond to the invitation to submit observations and any amendments, which was transmitted to the applicant(s) on ARIPO Form No. 18, dated [] We hereby notify the applicant(s), pursuant to Instruction 51(1), that, for the reasons stated below, the ARIPO Office has decided to refuse to grant a patent on the above-identified application on grounds of non-compliance with substantive requirements despite the observations and any amendment submitted by the applicant(s) (under cover of ARIPO Form No. 19, dated) in response to the invitation to submit observations and any amendments (transmitted to the applicant(s) on ARIPO Form No. 18, dated): [] We hereby notify the applicant(s) that, in accordance with Instruction 51(2), the ARIPO Office has decided to refuse to grant a patent on the above-identified application on grounds that one or more other applications for grant of patent for the same invention, having the same filing or, where applicable, priority date, have been filed by the same applicant(s), such other application(s) being the following (identified by application number and filing date): ACCORDING TO SECTION 3(4) AND INSTRUCTION 51(3), THE APPLICANT MAY, <u>WITHIN TWO MONTHS</u> FROM THE DATE OF THIS NOTIFICATION, REQUEST, ON ARIPO FORM NO. 19, THAT THE ARIPO OFFICE RECONSIDER THE DECISION ABOVE NOTIFIED.	

* A notification Form No. 20 shall be sent in the first instance to the applicant's representative, pursuant to Instruction 51(1) and (2) (i.e., when any of the first three boxes is ticked off); if the applicant does not request the ARIPO Office to reconsider its decision refusing to grant the patent or if the ARIPO Office refuses to grant a patent notwithstanding such a request for consideration (i.e., when the fifth or sixth box is ticked off), a notification Form No. 20 shall then be sent, pursuant to Instruction 51(4), to the receiving Office and to the industrial property office of each designated State to which an ARIPO Form No. 13 was sent, as well as to the applicant's representative.

ARIPO Form No. 20 (cont'd)

- [] We hereby notify you, pursuant to Instruction 51(4), that the ARIPO Office notified the above applicant(s) of its decision to refuse to grant a patent on the above-identified application on grounds of non-compliance with substantive requirements for the reasons indicated to the applicant(s) on ARIPO Form No. 20, dated (a copy of which is attached hereto), and that, since the said applicant(s) has/have not requested the ARIPO Office to reconsider its decision, as allowed for in Section 3(4), the decision by the ARIPO Office to refuse to grant a patent is considered final.
- [] We hereby notify you, pursuant to Instruction 51(4), that, notwithstanding the applicant's request, on ARIPO Form No. 19, (a copy of which is attached hereto), for the ARIPO Office to reconsider its decision refusing to grant a patent on the above-identified application on grounds of non-compliance with substantive requirements (notified to the applicant(s) on ARIPO Form No. 20, dated), the ARIPO Office refuses to grant the patent for the following reasons:

ACCORDING TO SECTION 3(8), RULE 19(1) AND INSTRUCTION 54(1), THE APPLICANT MAY, WITHIN THREE MONTHS FROM THE DATE OF THE NOTIFICATION OF THE DECISION REFUSING TO GRANT A PATENT NOTWITHSTANDING THE APPLICANT'S REQUEST FOR RECONSIDERATION UNDER SECTION 3(4), REQUEST, ON ARIPO FORM NO. 23, THAT HIS APPLICATION BE TREATED, IN ANY DESIGNATED STATE, AS AN APPLICATION ACCORDING TO THE NATIONAL LAW OF THAT STATE.

This notification is being sent to*:

.....
.....
.....

IV. SIGNATURE**

.....
DIRECTOR GENERAL
ARIPO Office

.....
(Date)

* Indicate all those to whom a notification Form No. 20 is being sent in connection with the above-identified application.

** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 21 HARARE PROTOCOL NOTIFICATION OF DECISION TO GRANT OR REGISTER (Rule 18(4); Instruction 52) To*:	For Official Use
Applicant's or Representative's File Reference:	
I. IN THE MATTER OF: <input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model <div style="text-align: center;"> Application No.: Filing Date: </div>	
II. APPLICANT(S) Name: Address:	
III. NOTIFICATION We hereby notify you, pursuant to Rule 18(4), that the ARIPO Office has decided to grant a patent on the above-identified application. <input type="checkbox"/> A copy of the search and examination report upon which this decision is based is attached hereto. <input type="checkbox"/> A copy of the above-identified application is attached hereto.** We hereby request the applicant(s) to make payment of the grant and publication fee on a date which is not less than two months but not more than three months from the date of this notification. Before the expiration of six months from the date of this notification, each designated State may, pursuant to Section 3(6), make a written communication to the ARIPO Office on ARIPO Form No. 22 to the effect that, if a patent is granted by the ARIPO Office on the above-identified application, said patent shall have no effect in its territory for any of the reasons indicated in Section 3(6). Upon expiration of the said six months and subject to the payment of the grant and publication fee by the applicant(s), the ARIPO Office shall grant the patent in accordance with Section 3(7), Rule 20 and Instructions 55 to 57, and the granted patent shall have effect in those designated States which have not made the communication referred to in the preceding paragraph.	
This notification is being sent to****:	

ARIPO Form No. 21 (cont'd)

IV. SIGNATURE*****	
.....
DIRECTOR GENERAL ARIPO Office	(Date)

* Type name and address of applicant's representative.

** Attach a copy each of the search and examination report and of the above-identified application.

*** Period specified for fee payment.

**** Indicate all those to whom a notification Form No. 21 is being sent in connection with the above-identified application.

***** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 22 HARARE PROTOCOL</p> <p>COMMUNICATION BY DESIGNATED STATE THAT PATENT OR UTILITY MODEL SHALL HAVE NO EFFECT IN ITS TERRITORY (Rule 18(5); Instruction 53)</p> <p>To: Director General ARIPO Office P.O. Box 4228 Harare Zimbabwe</p>	<p>For Official Use</p>
<p>Applicant's or Representative's File Reference:</p>	
<p>I. IN THE MATTER OF</p> <p><input type="checkbox"/> Application for grant of patent <input type="checkbox"/> Application for registration of utility model</p> <p style="text-align: center;">Application No.: Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name: Address:</p>	
<p>III. COMMUNICATION</p> <p>On behalf of (designated State), we hereby communicate to the ARIPO Office, pursuant to Section 3(6), that, if the said Office grants a patent or register a utility model on the above-identified application in accordance with its decision to grant a patent, as notified on ARIPO Form No. 21 dated, the said patent shall have no effect in the territory of (designated State) because:</p> <p><input type="checkbox"/> the invention is not patentable in accordance with the provisions of the Protocol and the Rules and Instructions pertaining thereto for the following reasons (citing relevant statutory provisions):</p> <p><input type="checkbox"/> given the nature of the invention which is the subject of the above-identified application, a patent cannot be registered or granted or has no effect under the law of (designated State) for the following reasons (citing statutory provisions, copies of which shall be attached to this communication):</p>	
<p>IV. SIGNATURE*</p> <p style="text-align: center;">.....</p> <p style="text-align: right;">..... (Date)</p> <p>INDUSTRIAL PROPERTY OFFICE**</p>	

* Type name and title under signature.

** Type name and State of the industrial property Office of the designated State.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 23 HARARE PROTOCOL</p> <p>REQUEST FOR CONVERSION OF APPLICATION INTO NATIONAL APPLICATION (Rule 19(1); Instruction 54(1))</p> <p>To: Director General ARIPO Office P.O. Box 4228 Harare Zimbabwe</p>	<p style="text-align: right;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF:</p> <p>[] Application for grant of patent [] Application for registration of utility model</p> <p style="text-align: center;">Application No.:</p> <p style="text-align: center;">Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. REQUEST</p> <p>In view of the fact that the ARIPO Office has referred the above-identified application notwithstanding a request for reconsideration under Section 3(4), as notified on [] ARIPO Form No. 14 [] ARIPO Form No. 20, dated (a copy of which is attached hereto), the applicant(s) hereby request(s), pursuant to Section 3(8), that the above-identified application be treated in each of the following designated States as an application according to the national law of that State and that, within two weeks from receiving this request, the ARIPO Office transmit the documents referred to and as required by Rule 19(2) and Instruction 54(2) to the industrial property office of each designated State herein specified:</p> <p>.....</p> <p>.....</p> <p>.....</p>	
<p>IV. SIGNATURE*</p> <p style="text-align: center;">.....</p> <p style="text-align: right;">..... (Date)</p>	

* Type name under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 24 HARARE PROTOCOL TRANSMITTAL BY ARIPO OFFICE OF APPLICATION AND DOCUMENTS TO DESIGNATED STATE UPON REQUEST FOR CONVERSION INTO NATIONAL APPLICATION (Rule 19(2); Instruction 54(1) and 69) To*:	<p style="text-align: center;">For Official Use</p> Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF: <input type="checkbox"/> Application for Grant of Patent No.: Filing date: <input type="checkbox"/> Application for Registration of Utility Model Filing date: <input type="checkbox"/> Application for Registration of Industrial Design No.: Filing date:	
II. APPLICANT(S) Name: Address:	
III. TRANSMITTAL In response to the applicant's request, submitted on <input type="checkbox"/> ARIPO Form No. 23 <input type="checkbox"/> ARIPO Form No. 38, that the above-identified application be treated on (designated State) as an application according to the national law of (designated State), we hereby transmit to you, pursuant to Rule 19(2) and the respective Instruction, the following documents attached hereto: <input type="checkbox"/> copy of ARIPO Form No. 23/38 <input type="checkbox"/> copy of the above-identified application <input type="checkbox"/> other(s) (specify) This transmittal is being made to**	
IV. SIGNATURE*** <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="text-align: center;"> DIRECTOR GENERAL ARIPO Office </div> <div style="text-align: center;"> (Date) </div> </div>	

* A transmittal Form No. 24, with the required accompanying documents, shall be sent to the industrial property office of each designated State specified by the applicant on ARIPO Form No. 23/38 in connection with the above-identified application. A copy of one of the Forms No. 24 thus transmitted shall also be sent to the applicant's representative, without the accompanying documents.

** Indicate all those industrial property offices (by name and State) to which a transmittal Form No. 24 with accompanying documents is being sent in connection with the above-identified application.

*** Type name of Director General under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 25 HARARE PROTOCOL (19)* ARIPO Office (12) ARIPO PATENT (Front page) (Rule 20; Instruction 55)	(11) Publication No.: (51) Int. Cl. 4:
(21) Application No.:	(73) Owner(s) Name: Address:
(22) Filing date:	
(30) Priority:	(72) Inventor(s): Name: Address:
(45) Date of publication of ARIPO patent:	(74) Representative Name: Address:
(24) Effective date of grant of ARIPO patent:	(56) Documents/Reference cited of prior art:
(84) Designated Contracting States for which patent is granted:	
(54) Title: (57) Abstract (with the most illustrative drawing, if there are any):	
The description and claims are attached hereto and form an integral part hereof. [] Drawings are attached hereto and form an integral part hereof.	
Additional Information or Comments:	

* The numbers in parentheses before the bibliographic data are the "Internationally Agreed Numbers for the Identification of Data" (INID codes), as defined in WIPO Standard ST. 9, whereby the various bibliographic data appearing on the first page of a patent document can be identified without knowledge of the language used and the industrial property laws applied. The list of definitions of the bibliographic data, with their corresponding INID codes, is published in the ARIPO Journal.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 26 HARARE PROTOCOL CERTIFICATE OF GRANT OF PATENT (Rule 20(3); Instruction 57)	For Official Use
<p>In accordance with Rule 20(3) of the Regulations, it is hereby certified that a patent having the No. has been granted to:</p> <p>Name: Address:</p> <p>on (date), with effect in the following designated Contracting States:</p> <p>in respect of an invention disclosed in an application for that patent having a:</p> <p>Filing date: Priority date:</p> <p>being an invention for: (title)</p>	
<p>Dated this day of, 20.....</p> <p>..... DIRECTOR GENERAL ARIPO Office</p>	



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 27 HARARE PROTOCOL REMINDER TO PAY ANNUAL MAINTENANCE FEE DUE (Rule 21(3); Instruction 58 and 71) To*:	For Official Use												
Applicant's/Owner's or Representative's File Reference:													
<p>I. IN THE MATTER OF:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 60%;"><input type="checkbox"/> Application for Grant of Patent No.:</td> <td style="width: 40%;">Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Patent No.:</td> <td>Date of grant:</td> </tr> <tr> <td><input type="checkbox"/> Application for registration of utility model</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registration of utility model</td> <td>Registration date:</td> </tr> <tr> <td><input type="checkbox"/> Application for registration of Industrial Design No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registration of Industrial Design No.:</td> <td>Registration date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:	<input type="checkbox"/> Patent No.:	Date of grant:	<input type="checkbox"/> Application for registration of utility model	Filing date:	<input type="checkbox"/> Registration of utility model	Registration date:	<input type="checkbox"/> Application for registration of Industrial Design No.:	Filing date:	<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:
<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:												
<input type="checkbox"/> Patent No.:	Date of grant:												
<input type="checkbox"/> Application for registration of utility model	Filing date:												
<input type="checkbox"/> Registration of utility model	Registration date:												
<input type="checkbox"/> Application for registration of Industrial Design No.:	Filing date:												
<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:												
<p>II. APPLICANT(S)/OWNER(S)</p> Name: Address:													
<p>III. REMINDER</p> <p>We hereby remind you, pursuant to Rule 21(3), that the annual maintenance fee payable under Section 3(10) in connection with the above-identified matter is due on and payable before (date), in the amount of, as calculated in accordance with the Schedule of Fees.</p> <p>Subject to the payment of the prescribed surcharge, a grace period of six months shall be allowed for the payment of the annual maintenance fee due.</p> <p>IF THE ANNUAL MAINTENANCE FEE IS NOT PAID IN ACCORDANCE WITH RULE 21, THE ABOVE-IDENTIFIED APPLICATION/PATENT/REGISTRATION SHALL BE DEEMED TO HAVE BEEN WITHDRAWN/LAPSED.</p>													
<p>IV. SIGNATURE**</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; text-align: center;"> DIRECTOR GENERAL ARIPO Office </td> <td style="width: 50%; text-align: center;"> (Date) </td> </tr> </table>	 DIRECTOR GENERAL ARIPO Office (Date)										
..... DIRECTOR GENERAL ARIPO Office (Date)												

* Type name and address of applicant's or owner's representative.

** Type name of Director General under signature.

ARIPO Form No. 28 (contd.)

<p>V. CREATOR</p> <p>The creator is the applicant [] Additional information is contained in the supplemental box []</p> <p>If creator is not the applicant: Name: Address: The statement specifying the basis of the applicant's right to the registration of the industrial design accompanies this Form []</p>		
<p>VI. NATURE OF INDUSTRIAL DESIGN</p> <p>[] Two-dimensional [] Three-dimensional, requiring ... different graphic reproductions</p>		
<p>VII. PRIORITY DECLARATION (if any)</p> <p>The priority of (an) earlier application(s) is claimed as follows [] The priority of more than one earlier application is claimed, the data are indicated in the supplemental box []</p> <table border="1"><tr><td>Country:</td><td>Filing Date: Application No.:</td></tr></table> <p>The certified copy of the earlier application: [] accompanies this Form. [] will be furnished within three months from the filing of this Form.</p> <p>The English translation of the earlier application: [] accompanies this Form. [] will be furnished within six months from the filing of this Form.</p>	Country:	Filing Date: Application No.:
Country:	Filing Date: Application No.:	
<p>VIII. SUPPLEMENTAL BOX*</p>		

* Use this box if any of the boxes is not large enough to contain information to be furnished. Indicate the boxes continued in this box by their roman numerals and title (e.g., "II. APPLICANT(S) (continued)").

ARIPO Form No. 28 (cont'd)

IX. CHECK LIST

This Form, as filed, is accompanied by the items checked below:

- separate signed power of attorney on ARIPO Form No. 4
- graphic reproduction(s)
- statement specifying the basis of the applicant's right to the registration of industrial design
- priority document(s) (certified copy of earlier application(s))
- English translation of earlier application(s) on which priority declaration is based
- receipt for the fees paid
- undertaking to pay the prescribed fees within 21 days
- other document(s) (specify)

X. SIGNATURE*

.....

.....

(Date)

TO BE FILLED IN BY THE RECEIVING OFFICE OR THE ARIPO OFFICE (as the case may be)

1. Date of receipt by receiving Office of corrections, later filed papers or drawings completing the application:
2. Date of receipt by ARIPO Office of corrections, later filed papers or drawings completing the application:
3. Date fees received: By:

* Type name(s) under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 29 HARARE PROTOCOL INVITATION BY RECEIVING OFFICE TO CORRECT APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Instruction 63) To*:	For Official Use
Applicant's or Representative's File Reference:	
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN Application No. given by receiving Office: Date of receipt by receiving Office:	
II. APPLICANT(S) Name: Address:	
III. INVITATION The ** [] after examining whether the above-identified application on the face of it fulfills the requirements of Section 4(1)(i) and (ii), finds that the application on the face of it does not fulfill such requirements with respect to [] the identity of the applicant(s) [] the reproduction of the industrial design [] finds that the application does not comply with Instruction 26(1), and therefore hereby requests that the applicant(s), <u>within two months</u> from the date of this invitation, <u>either</u> file the following correction, together with the payment of the prescribed fee, <u>or</u> request this Office to transmit all documents making up the application to the ARIPO Office:	
IV. SIGNATURE*** <div style="display: flex; justify-content: space-between; align-items: center;"> <div style="width: 45%; text-align: center;"> INDUSTRIAL PROPERTY OFFICE** </div> <div style="width: 45%; text-align: center;"> (Date) </div> </div>	

* Type name and address of applicant's representative.

** Type name and State of receiving Office.

*** Type name and title under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 30 HARARE PROTOCOL</p> <p>TRANSMITTAL BY RECEIVING OFFICE TO ARIPO OFFICE OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Instruction 63)</p> <p>To*: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p>For Official Use (by ARIPO Office)</p> <p>Received on:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No. given by receiving Office: Date of receipt by receiving Office:</p>	
<p>II. APPLICANT(S)</p> <p>Name: Address:</p>	
<p>III. TRANSMITTAL</p> <p>We hereby transmit to the ARIPO Office the documents making up the above-identified application (in ... (number)* copies, except for any certified copy of an earlier application and any translation thereof, in case a priority is claimed, which are transmitted in one copy).</p> <p>Furthermore, we:</p> <p>(i) <input type="checkbox"/> have verified that the application on the face of it fulfilled the requirements of Section 4(1)(i) and (ii) on (date), being <input type="checkbox"/> the date of its receipt <input type="checkbox"/> the date on which corrections were received;</p> <p>(ii) <input type="checkbox"/> have found that the application on the face of it does not fulfill the requirements of Section 4(1)(i) and (ii), <input type="checkbox"/> have found that the application does not comply with Instruction 26(1), but the applicant(s), after having been notified of that fact, has (have) requested this Office nonetheless to transmit to the ARIPO Office the documents making up the application;</p> <p>(iii) <input type="checkbox"/> have verified that the undertaking with respect to fees has been submitted; <input type="checkbox"/> have verified that the fees have been paid and a receipt issued therefor;</p> <p>(iv) have marked on each document making up the application the actual date of its receipt, an appropriate number and our official stamp; and</p> <p>(v) have issued to the applicant's representative an acknowledgement of receipt of the application on ARIPO Form No. 8 (a copy of which receipt is attached thereto).</p>	
<p>IV. SIGNATURE**</p> <p>..... (Date)</p> <p>INDUSTRIAL PROPERTY OFFICE***</p>	

* See Instruction 26(1).

** Type name and title under signature.

*** Type name and State of receiving Office.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 31 HARARE PROTOCOL</p> <p>NOTIFICATION BY RECEIVING OFFICE OF TRANSMITTAL OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Instruction 63)</p> <p>To*:</p>	<p>For Official Use</p>
<p>Applicant's or Representative's File Reference:</p>	
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No. given by receiving Office:</p> <p>Date of receipt by receiving Office:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. NOTIFICATION</p> <p>We hereby notify the applicant(s), pursuant to Instruction 63, that the documents making up the above-identified application have been transmitted, as required by the respective Rules and Instructions, to the ARIPO Office on (date).</p>	
<p>IV. SIGNATURE**</p> <p>.....</p> <p>(Date)</p> <p>INDUSTRIAL PROPERTY OFFICE***</p>	

* Type name and address of applicant's representative.

** Type name and title under signature.

*** Type name and State of receiving Office.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 32 HARARE PROTOCOL INVITATION BY ARIPO OFFICE TO CORRECT APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Instructions 65 and 66(1)) To*:	For Official Use
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN** Application No. given by receiving Office: Application No. given by ARIPO Office: Filing date:	
II. APPLICANT(S) Name: Address:	
III. INVITATION [] After examining, pursuant to Instruction 65, whether the above-identified application on the face of it fulfills the requirements of Section 4(1)(i) and (ii), the ARIPO Office finds that the application on the face of it does not fulfill such requirements with respect to [] the identity of the applicant(s) [] the reproduction of the industrial design and therefore hereby requests that the applicant(s), <u>within two months</u> from the date of this invitation, file the following correction, together with the payment of the prescribed fee: [] After examining, pursuant to Instruction 66(1), whether the above-identified application fulfills the prescribed formal requirements, the ARIPO Office finds that the application does not fulfill such requirements and therefore hereby requests that the applicant(s), <u>within two months</u> from the date of this invitation, file the following correction, together with the payment of the prescribed fee:	
IV. SIGNATURE*** <div style="display: flex; justify-content: space-around;"> <div style="text-align: center;"> Director General ARIPO Office </div> <div style="text-align: center;"> (Date) </div> </div>	

* Type name and address of applicant's representative.

** If applicant has not yet been notified of the filing date and application number given to the application, by the ARIPO Office, on Form No. 33, only the application number given by the receiving Office shall be quoted. If applicant has been notified of the filing date and application number given to the application, by the ARIPO Office, on Form No. 33, only that number and the application, by the ARIPO Office, on Form No. 33, only that number and the filing date shall be quoted.

*** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 33 HARARE PROTOCOL</p> <p>NOTIFICATION OF FILING DATE OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Instruction 65)</p> <p>To*:</p>	<p style="text-align: right;">For Official Use</p> <p>Received on:</p> <hr/> <p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No. given by ARIPO Office**:</p> <p>Application No. given by receiving Office:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. NOTIFICATION</p> <p>We hereby notify you, pursuant to Instruction 65, that the above-identified application has been accorded (date) as its filing date.</p> <p>This notification is being sent to*** :</p> <p>.....</p> <p>.....</p> <p>.....</p>	
<p>IV. SIGNATURE****</p> <p style="text-align: center;">.....</p> <p style="text-align: center;">Director General ARIPO Office</p> <p style="text-align: right;">..... (Date)</p>	

* A notification Form 33 shall be sent to the applicant's representative and to the industrial property office of each designated State.

** Please quote the application number given by the ARIPO Office in all subsequent communications concerning this application.

*** Indicate all those to whom a notification Form No. 33 is being sent in connection with the above-identified application.

**** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 34 HARARE PROTOCOL</p> <p>NOTIFICATION THAT APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN COMPLIES WITH FORMAL REQUIREMENTS (Instruction 66(2))</p> <p>To*:</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p>
<p>Applicant's or Representative's File Reference:</p>	
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No.: Filing Date:</p>	
<p>II. APPLICANT(S)</p> <p>Name:</p> <p>Address:</p>	
<p>III. NOTIFICATION</p> <p>We hereby notify you, pursuant to Instruction 66(2), that the above-identified application complies with the prescribed formal requirements.</p> <p><input type="checkbox"/> A copy of the above-identified application is attached hereto.**</p> <p>We hereby request the applicant(s) to make payment of the registration and publication fee within (period specified)*** from the date of this notification.</p> <p>Before the expiration of six months from the date of this notification, each designated State may, pursuant to Section 4(3), make a written communication to the ARIPO Office on ARIPO Form No. 37 to the effect that, if the industrial design is registered by the ARIPO Office pursuant to the above-identified application, said registration shall have no effect in its territory for any of the reasons indicated in Section 4(3).</p> <p>Upon expiration of the said six months and subject to the payment of the registration and publication fee by the applicant(s), the ARIPO Office shall register the industrial design in accordance with Section 4(4) and Instruction 70, and the registration shall have effect in those designated States which have not made the communication referred to in the preceding paragraph.</p>	

* A notification Form No. 34 shall be sent to the applicant's representative and to the industrial property office of each designated State for which registration of the industrial design has been requested in the above-identified application.

** A copy of the application shall accompany Form No. 34 when transmitted to the industrial property offices of designated States.

*** See Instruction 66(2).

Harare Protocol and Implementing Regulations, Feb 24 2007

ARIPO Form No. 34 (contd.)

This notification is being sent to*:	
.....	
.....	
.....	
IV. SIGNATURE**	
.....
DIRECTOR GENERAL	(Date)
ARIPO Office	

* Indicate all those to whom a notification Form No. 34 is being sent in connection with the above-identified application.

** Type name of Director General under signature.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 35 HARARE PROTOCOL</p> <p>NOTIFICATION OF DECISION REFUSING APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN ON GROUNDS OF NON-COMPLIANCE WITH FORMAL REQUIREMENTS (Instruction 66(3))</p> <p>To*:</p>	<p>For Official Use</p> <p>Received on:</p>
	<p>Applicant's or Representative's File Reference:</p>
<p>I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN</p> <p>Application No.: _____ Filing Date: _____</p>	
<p>II. APPLICANT(S)</p> <p>Name: _____</p> <p>Address: _____</p>	
<p>III. NOTIFICATION</p> <p><input type="checkbox"/> We hereby notify the applicant(s), pursuant to Instruction 66(3), that the ARIPO Office has decided to refuse the above-identified application on grounds of non-compliance with the prescribed formal requirements because the applicant(s) failed to respond to the invitation by the ARIPO Office to correct the above-identified application, which was transmitted to the applicant(s) on ARIPO Form No. 32, dated</p> <p><input type="checkbox"/> We hereby notify the applicant(s), pursuant to Instruction 66(3), that, for the reasons stated below, the ARIPO Office has decided to refuse the above-identified application on grounds of non-compliance with the prescribed formal requirements despite the correction which was made by the applicant(s) on (date) in response to the invitation by the ARIPO Office to correct the above-identified application (transmitted to the applicant(s) on ARIPO Form No. 32, dated):</p> <p>ACCORDING TO SECTION 4(5) AND INSTRUCTION 69, THE APPLICANT MAY, WITHIN THREE MONTHS FROM THE DATE OF THIS NOTIFICATION OF THE DECISION REFUSING THE APPLICATION, REQUEST, ON ARIPO FORM NO. 38, THAT HIS APPLICATION BE TREATED, IN ANY DESIGNATED STATE, AS AN APPLICATION ACCORDING TO THE NATIONAL LAW OF THAT STATE.</p>	

* A notification Form No. 35 shall be sent to the applicant's representative, to the receiving Office and to the industrial property office of each designated State to which an ARIPO Form No. 33 was sent.

ARIPO Form No. 35 (cont'd)

This notification is being sent to*:	
IV. SIGNATURE** DIRECTOR GENERAL ARIPO Office (Date)

* Indicate all those to whom a notification Form No. 35 is being sent in connection with the above-identified application.

** Type name of Director General under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 36 HARARE PROTOCOL DECLARATION OF WITHDRAWAL OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN (Instruction 67) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	For Official Use Received on:
	Applicant's or Representative's File Reference:
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN Application No.: _____ Filing Date: _____	
II. APPLICANT(S) Name: Address:	
III. DECLARATION I/We hereby declare my/our desire that the above-identified application be withdrawn.	
IV. SIGNATURE(S)* (Date)	

* Type name(s) under signature(s). Note that, pursuant to Instruction 67, by reference to Instruction 47(1), a declaration of withdrawal must be signed by the applicant or by all applicants if there are more than one.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 37 HARARE PROTOCOL COMMUNICATION BY DESIGNATED STATE THAT REGISTRATION OF INDUSTRIAL DESIGN SHALL HAVE NO EFFECT IN ITS TERRITORY (Instruction 68) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	For Official Use (by ARIPO Office) Received on:
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN Application No.: _____ Filing Date: _____	
II. APPLICANT(S) Name: _____ Address: _____	
III. COMMUNICATION On behalf of (designated State), we hereby communicate to the ARIPO Office, pursuant to Section 4(3), that, if the said Office registers the industrial design pursuant to the above-identified application in accordance with its decision that said application complies with the prescribed formal requirements, as notified on ARIPO Form No. 34, dated, the said registration shall have no effect in the territory of (designated State) because: [] the industrial design is not new for the following reasons: [] given the nature of the industrial design which is the subject of the above-identified application, it cannot be registered or a registration has no effect under the law of (designated State) for the following reasons (citing relevant statutory provisions, copies of which shall be attached to this communication): [] the industrial design is a textile design which is the subject of a special register.	
IV. SIGNATURE* (Date) INDUSTRIAL PROPERTY OFFICE**	

* Type name and title under signature.

** Type name and State of the industrial property office of the designated State.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 38 HARARE PROTOCOL REQUEST FOR CONVERSION OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN INTO NATIONAL APPLICATION (Instruction 69) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	For Official Use Received on: Applicant's or Representative's File Reference:
I. IN THE MATTER OF APPLICATION FOR REGISTRATION OF INDUSTRIAL DESIGN Application No.: _____ Filing Date: _____	
II. APPLICANT(S) Name: _____ Address: _____	
III. REQUEST In view of the fact that the ARIPO Office has refused the above-identified application, as notified on ARIPO Form No. 35, dated (a copy of which is attached hereto), the applicant(s) hereby request(s), pursuant to Section 4(5), that the above-identified application be treated in each of the following designated States as an application according to the national law of that State and that, within two weeks from receiving this request, the ARIPO Office transmit the documents referred to and as required by Rule 19(2) and Instruction 54(2) to the industrial property office of each designated State herein specified: 	
IV. SIGNATURE* (Date)	

* Type name under signature.



**AFRICAN REGIONAL INTELLECTUAL PROPERTY
ORGANIZATION (ARIPO)**

ARIPO Form No. 39 HARARE PROTOCOL CERTIFICATE OF REGISTRATION OF INDUSTRIAL DESIGN (Instruction 70)	For Official Use
<p>In accordance with Instruction 70, it is hereby certified that an industrial design having the registration No. has been registered in the name of:</p> <p>Name: Address: on (date), with effect in the following designated Contracting States:</p> <p>in respect of an industrial design disclosed in an application for registration of that industrial design, having a:</p> <p>Filing date: Priority date: being an industrial design for: (title)</p> <p>created by: Name: Address:</p> <p>A copy of the reproduction of the industrial design accompanies this Certificate.</p>	
<p>Dated this day of, 20.....</p> <p>..... DIRECTOR GENERAL ARIPO Office</p>	



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

<p>ARIPO Form No. 40 HARARE PROTOCOL</p> <p>NOTIFICATION BY DESIGNATED STATE OF REGISTRATION OF LICENCE, ASSIGNMENT, TRANSMISSION OR OTHER SIMILAR RIGHT PERTAINING TO PATENT OR INDUSTRIAL DESIGN, GRANTED, REGISTERED OR APPLIED FOR UNDER PROTOCOL (Rule 22(3); Instruction 72)</p> <p>To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe</p>	<p style="text-align: center;">For Official Use</p> <p>Received on:</p>								
<p>I. IN THE MATTER OF:</p> <table style="width: 100%; border: none;"> <tr> <td style="width: 70%;"><input type="checkbox"/> Application for Grant of Patent No.:</td> <td style="width: 30%;">Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Patent No.:</td> <td>Date of grant:</td> </tr> <tr> <td><input type="checkbox"/> Application for registration of Industrial Design No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registration of Industrial Design No.:</td> <td>Registration date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:	<input type="checkbox"/> Patent No.:	Date of grant:	<input type="checkbox"/> Application for registration of Industrial Design No.:	Filing date:	<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:
<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:								
<input type="checkbox"/> Patent No.:	Date of grant:								
<input type="checkbox"/> Application for registration of Industrial Design No.:	Filing date:								
<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:								
<p>II. NOTIFICATION</p> <p>Pursuant to Rule 22(3), we hereby notify you that a licence/assignment/transmission/..... (other similar right)* pertaining to the above-identified matter has been registered, as required by our national laws, and provide you with the following particulars with respect thereto:</p> <p><input type="checkbox"/> copies of the items/particulars recorded, including copies of the documents registered therewith (specify)</p> <p>.....</p> <p>.....</p>									
<p>III. ADDITIONAL INFORMATION OR COMMENTS</p>									
<p>IV. SIGNATURE**</p> <p style="text-align: center;">..... (Date)</p> <p style="text-align: center;">INDUSTRIAL PROPERTY OFFICE***</p>									

* Delete whichever term does not apply and, if neither licence, assignment or transmission applies, indicate exact right concerned.

** Type name and title under signature.

*** Type name and State of receiving Office.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 41 HARARE PROTOCOL APPLICATION TO REGISTER ASSIGNMENT, TRANSMISSION OR OTHER FORM OF TRANSFER (Rule 22(5); Instruction 73) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	<p style="text-align: center;">For Official Use</p> Received on: Applicant's or Representative's File Reference:												
I. IN THE MATTER OF: <table style="width: 100%; border: none;"> <tr> <td style="width: 70%;"><input type="checkbox"/> Application for Grant of Patent No.:</td> <td style="width: 30%;">Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Patent No.:</td> <td>Date of grant:</td> </tr> <tr> <td><input type="checkbox"/> Application for registration of Utility Model</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Utility Model No.:</td> <td>Registration date:</td> </tr> <tr> <td><input type="checkbox"/> Application for registration of Industrial Design No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registration of Industrial Design No.:</td> <td>Registration date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:	<input type="checkbox"/> Patent No.:	Date of grant:	<input type="checkbox"/> Application for registration of Utility Model	Filing date:	<input type="checkbox"/> Utility Model No.:	Registration date:	<input type="checkbox"/> Application for registration of Industrial Design No.:	Filing date:	<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:
<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:												
<input type="checkbox"/> Patent No.:	Date of grant:												
<input type="checkbox"/> Application for registration of Utility Model	Filing date:												
<input type="checkbox"/> Utility Model No.:	Registration date:												
<input type="checkbox"/> Application for registration of Industrial Design No.:	Filing date:												
<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:												
II. PRESENT APPLICANT(S)/OWNER(S) ON RECORD Name: Address:													
III. APPLICATION The new applicant(s)/owner(s), below-identified, hereby applies(y), pursuant to Instruction 73, to the ARIPO Office to register with respect to the following designated Contracting States whose national laws do not provide for such registration, the assignment/transmission/ (other form of transfer)* pertaining to the above-identified matter:													
IV. NEW APPLICANT(S)/OWNER(S) Name: Address: Nationality: Country of residence or principal place of business: <table style="width: 100%; border: none;"> <tr> <td style="width: 33%;">Telephone Number</td> <td style="width: 33%;">E-mail Address</td> <td style="width: 33%;">Fax Number</td> </tr> </table>		Telephone Number	E-mail Address	Fax Number									
Telephone Number	E-mail Address	Fax Number											

* Delete whichever term does not apply and, if neither assignment nor transmission applies, indicate exact form of transfer concerned.

ARIPO Form No. 41 (cont'd)

V. REPRESENTATIVE OF NEW APPLICANT(S)/OWNER(S) (if any)*

The following representative has been appointed by the new applicant(s)/owner(s) in the power of attorney on ARIPO Form No. 4

accompanying this Form to be filed within two months from the filing of this Form

Name:

Address:

Telephone Number

Telegraphic Address

Fax Number

VI. ADDITIONAL INFORMATION

The following items accompany this Form**:

the original or a certified copy of the assignment, signed by or/on behalf of the contracting parties

other documents evidencing the change of ownership (specify)

other (specify)

VII. SIGNATURE(S)

.....
(New Applicant(s)/Owner(s)/Representative for New Applicant(s)/Owner(s))***

.....
(Date)

(in case of assignment also)

.....
(Present Applicant(s)/Owner(s)/Representative for Present Applicant(s)/Owner(s))***

.....
(Date)

* Fill in this item only if the new applicant(s)/owner(s) has(ve) appointed a new representative; otherwise, it shall be presumed that the representative of the previous applicant(s)/owner(s) continues to represent the new one(s).

** See Instruction 73(2).

*** Type name(s) under signature(s) and delete whichever does not apply.



AFRICAN REGIONAL INTELLECTUAL PROPERTY ORGANIZATION (ARIPO)

ARIPO Form No. 42 HARARE PROTOCOL APPLICATION TO REGISTER LICENCE OR OTHER SIMILAR RIGHT (Rule 22(5); Instruction 75) To: Director General ARIPO Office P.O. Box 4228 HARARE Zimbabwe	For Official Use Received on: Applicant's or Representative's File Reference:												
I. IN THE MATTER OF: <table style="width: 100%; border: none;"> <tr> <td style="width: 70%;"><input type="checkbox"/> Application for Grant of Patent No.:</td> <td style="width: 30%;">Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Patent No.:</td> <td>Date of grant:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Utility Model</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Utility Model No.:</td> <td>Registration date:</td> </tr> <tr> <td><input type="checkbox"/> Application for Registration of Industrial Design No.:</td> <td>Filing date:</td> </tr> <tr> <td><input type="checkbox"/> Registration of Industrial Design No.:</td> <td>Registration date:</td> </tr> </table>		<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:	<input type="checkbox"/> Patent No.:	Date of grant:	<input type="checkbox"/> Application for Registration of Utility Model	Filing date:	<input type="checkbox"/> Utility Model No.:	Registration date:	<input type="checkbox"/> Application for Registration of Industrial Design No.:	Filing date:	<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:
<input type="checkbox"/> Application for Grant of Patent No.:	Filing date:												
<input type="checkbox"/> Patent No.:	Date of grant:												
<input type="checkbox"/> Application for Registration of Utility Model	Filing date:												
<input type="checkbox"/> Utility Model No.:	Registration date:												
<input type="checkbox"/> Application for Registration of Industrial Design No.:	Filing date:												
<input type="checkbox"/> Registration of Industrial Design No.:	Registration date:												
II. APPLICANT(S)/OWNER(S) (LICENSOR(S)) Name: Address:													
III. APPLICATION I/We hereby apply, pursuant to Instruction 75, to the ARIPO Office to register, with respect to the following designated Contracting States whose national laws do not provide for such registration, the licence contract/ (other similar right)*, pertaining to the above-identified matter, between the above-identified party(ies), as licensor(s), and the below-identified party(ies), as licensee(s):													
IV. LICENSEE(S) Name: Address: Nationality: Country of residence or principal place of business: <table style="width: 100%; border: none;"> <tr> <td style="width: 33%;">Telephone Number</td> <td style="width: 33%;">E-mail Address</td> <td style="width: 33%;">Fax Number</td> </tr> </table>		Telephone Number	E-mail Address	Fax Number									
Telephone Number	E-mail Address	Fax Number											

* If licence contract does not apply, delete term and indicate exact right concerned and change other corresponding terms in Form accordingly.

ARIPO Form No. 42 (cont'd)

V. ADDITIONAL INFORMATION

The following items accompany this Form:

- a copy of the license contract, signed by or on behalf of the contracting parties
- other (specify)

VI. SIGNATURE*

.....
(Applicant(s)/Owner(s) as Licensor(s)/
Representative for Licensor(s)**)

.....
(Date)

* Type name(s) under signature(s).

** Delete whichever does not apply.

SCHEDULE III

COUNTRY CODES

COUNTRY CODES

Botswana	BW
Ethiopia	ET
Gambia	GM
Ghana	GH
Kenya	KE
Lesotho	LS
Liberia	LR
Malawi	MW
Mauritius	MU
Mozambique	MZ
Namibia	NA
Nigeria	NG
Seychelles	SC
Sierra Leone	SL
Somalia	SO
Sudan	SD
Swaziland	SZ
Tanzania	TZ
Uganda	UG
Zambia	ZM
Zimbabwe	ZW

